

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents  
Washington, D.C. 20231

Atty Dkt.: 1171-101

Sir:

Attached for filing is the patent application of:

Date: January 27, 2000

Inventor: SHAUGHNESSY et al

JC525 U.S. PTO  
09/491982  
01/27/00



Entitled: OSTEOPOROSIS TREATMENT  
and including attachments as noted below:

- Declaration,  Abstract  
 36 pages of specification and claims (including 41 numbered claims), and  
 15 sheets of accompanying drawing/s.  
 Record & return the attached assignment to the undersigned.  
 Priority is hereby claimed under 35 USC 119 based on the following foreign applications, the entire content of which is hereby incorporated by reference in this application:

Application Number	Country	Day/Month/Year Filed
2,237,915	Canada	19 May 1998
, respectively.		
<input type="checkbox"/> Certified copy(ies) of foreign application(s) is/are attached..		
<input type="checkbox"/> Please amend the specification by inserting before the first line --This is a _____ of PCT application _____, filed the entire content of which is hereby incorporated by reference in this application.--		
<input type="checkbox"/> Priority is hereby claimed under 35 USC 120/365 based on the following prior PCT applications designating the U.S., the entire content of which is hereby incorporated by reference in this application:		

Application Number	Country	Day/Month/Year Filed
--------------------	---------	----------------------

- This application is based on the following prior provisional application(s):  
 Application No. Filing Date

respectively, the entire content of which is hereby incorporated by reference in this application, and priority is hereby claimed therefrom.

Please amend the specification by inserting before the first line: -- This application claims the benefit of U.S. Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_, the entire content of which is hereby incorporated by reference in this application.

Verified Statement attached establishing "small entity" status (Rules 9 & 27)  
 The Examiner's attention is directed to the prior art cited in the parent application by applicant and/or Examiner for the reasons stated therein.

Preliminary amendment to claims (attached hereto), to be entered before calculation of the fee below.

Also attached: 22-page Paper Copy of Sequence Listing

**FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY HEREWITH CANCELED**

Basic Filing Fee		\$ 690.00	
Total effective claims 41 - 20 (at least 20) =	21	x \$ 18.00	\$ 378.00
Independent claims 5 - 3 (at least 3) =	2	x \$ 78.00	\$ 156.00
If any proper multiple dependent claims now added for first time, add \$260.00 (ignore improper)			\$ 0.00
			<b>SUBTOTAL</b> \$ 1,224.00
If "small entity," then enter half (1/2) of subtotal and subtract			-\$( 612.00)
			<b>SECOND SUBTOTAL</b> \$ 612.00
Assignment Recording Fee (\$40.00)			\$ 0.00
			<b>TOTAL FEE ENCLOSED</b> \$ 612.00

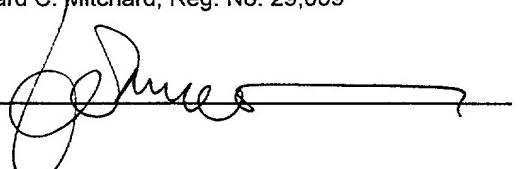
Any future submission requiring an extension of time is hereby stated to include a petition for such time extension.

The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8th Floor  
Arlington, Virginia 22201-4714  
Telephone: (703) 816-4000  
Facsimile: (703) 816-4100  
LCM:iks

NIXON & VANDERHYE P.C.

By Atty: Leonard C. Mitchard, Reg. No. 29,009

Signature: 

Applicant or Patentee: SHAUGHNESSY, Stephen et al. Attorney's  
Serial or Patent No.: \_\_\_\_\_ Docket No. \_\_\_\_\_  
Filed or Issued: \_\_\_\_\_  
For: OSTEOPOROSIS TREATMENT

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled OSTEOPOROSIS TREATMENT

described in

- (X) the specification filed herewith  
( ) appl'n. serial no. \_\_\_\_\_, filed \_\_\_\_\_  
( ) patent no. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ( ) no such person, concern, or organization  
( ) persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

(X) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance

fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

SHAUGHNESSY, Stephen

NAME OF INVENTOR

Stephen Shaughnessy  
Signature of Inventor

January 20 / 2000  
Date

AUSTIN, Richard Carl

NAME OF INVENTOR

Richard C. Austin  
Signature of Inventor

January 20/00.  
Date

## OSTEOPOROSIS TREATMENT

### CROSS-REFERENCED TO RELATED APPLICATION

5 This is a continuation-in-part of U.S. patent application Serial No. 09/314,152 filed May 19, 1999.

### FIELD OF THE INVENTION

10 This invention relates to medical treatments and to agents useful therein. More particularly, it relates to the prevention and treatment of pathological conditions in which the underlying pathology is an increase in bone resorption leading to bone loss, for example postmenopausal osteoporosis. It also relates to therapeutic agents useful in treatment and prevention of such conditions.

### BACKGROUND OF THE INVENTION

15 The remodelling of bone depends on a balance between bone formation and bone resorption. Osteoblasts are responsible for the formation of new bone osteoid, composed mainly of nonmineralized type 1 collagen. Bone resorption is mediated by large multinucleated cells called osteoclasts. To resorb bone, osteoclasts first establish zones of close contact with the mineralized matrix. This forms a protected compartment between the osteoclast and the bone matrix interface in which an acidic microenvironment is formed. Within these zones bone is demineralized, and the collagen fibres resorbed by the action of secreted lysosomal hydrolases. A number of factors have been shown to be potent stimulators of bone resorption both in vitro and in vivo. These include parathyroid hormone, 1,25-dihydroxyvitamin D<sub>3</sub> prostaglandin-E<sub>2</sub> or -I<sub>2</sub>, IL-1, TNF- $\alpha$ , TNF- $\beta$  and bone-derived growth factors. None of these factors directly affect osteoclastic function; all require the presence of osteoblasts.

Increased bone resorption is a hallmark of a variety of clinical conditions. Thus, it occurs not only in postmenopausal women but is also a frequent complication of metastatic bone cancer, myeloma, and Paget's disease of bone. Current treatment involves the use of agents that block bone resorption (such as bisphosphonates and calcitonin) or, in the case of postmenopausal osteoporosis, hormone replacement therapy with estrogens.

Interleukin-11 (IL-11) has a role, either alone or in combination with other cytokines, in bone formation/resorption.

IL-11 belongs to a family of cytokines which includes interleukin-6 (IL-6), leukemia inhibitory factor (LIF), and oncostatin M(OSM). These cytokines have similar tertiary structures, share a common signal transducer (gp130) and have overlapping biological activities. In order for these cytokines to elicit a biological response, a tertiary complex, comprising the cytokine, its specific receptor (alpha chain), and gp130 must be formed.

#### BRIEF REFERENCE TO THE PRIOR ART

Van Leuven et al., Genomics (1996) Jan 1; 31(1):65-70 report cloning the human gene for the interleukin-11 receptor (IL-11R), analysing the structure of the gene, and determining the predicted protein sequence. No analysis of protein structure and function was reported.

Karow et al., Biochem J. (1996) 318: 489-495, report cloning the gene for IL-11 $\alpha$  receptor and elucidation of its amino acid sequence, report the production of a soluble form of murine interleukin-11 receptor (IL-11R) and demonstrate that it interacts with the IL-11 ligand with high affinity. The affinity of IL-11 alone for gp130 is reported to be below the level of detection, but a complex of IL-11 and soluble IL-11R interacts with gp130 with high affinity. The receptor is a transmembrane protein that exhibits sequence homology with other members of

the haemopoietin receptor family. However, the location of the IL-11 and gp130 binding sites on the IL-11R was not disclosed.

5 Teramura et al. Blood 1992, 79:327 and Musashi et al. Proc. Natl. Acad. Sci. U.S.A. 1991, 88:765 report that in bone, IL-11 functions alone or in combination with other cytokines to support granulocyte/macrophage colony formation and to increase the number and ploidy of platelet-forming cells, megakaryocytes.

10 Girasole et al. J. Clin. Invest. 1994, 93:1516 and Tamura et al. Proc. Natl. Acad. Sci. 1993, 90:11924) report experimental work that demonstrates a role for IL-11 as well as other members of this family of cytokines in the process of osteoclastogenesis.

15 U.S. Patent 5,215,895 Bennett et al., issued June 1, 1993, discloses processes for production of IL-11, by culturing a cell transformed with a DNA sequence coding for IL-11.

20 Canadian Patent Application 2,177,837 Ciliberto et al. discloses an IL-6 antagonist which has been mutated at its gp130 binding region.

## SUMMARY OF THE INVENTION

25 It is an object of the present invention to provide a novel procedure for treatment and for alleviation of the symptoms of clinical conditions, such as osteoporosis, in which increased bone resorption or decreased bone formation is the underlying pathology.

30 It is a further object of the invention to provide novel therapeutic substances useful in the treatment of such clinical conditions and/or in the alleviation of symptoms thereof.

The present invention is based upon the elucidation of the role of the cytokine interleukin-11 (IL-11) in both the process of bone resorption and the process of bone formation, and the unexpectedly advantageous results to be obtained by inhibiting its actions. This cytokine has been found to be critical for osteoclast formation and activity and therefore bone resorption. Moreover, this cytokine has been found to act as an inhibitor of bone formation. The mode of action of IL-11 in its role in bone loss conditions involves the formation of a tertiary complex of IL-11, its cell surface membrane receptor (IL-11R) and the cell surface glycoprotein gp130 (gp130). If this tertiary complex is not formed, or is formed to only a lesser extent, bone resorption is not only inhibited, but in many cases the formation of new bone is promoted, so that effectively new bone is formed and bone density is increased.

Accordingly, the present invention from one broad aspect provides a process of treating or alleviating the symptoms of a pathological condition in which bone density is decreased, which comprises inhibiting, in a mammalian patient suffering from such a condition, the formation *in vivo* of a tertiary complex of IL-11, IL-11R and gp130. Such conditions include those involving increased bone resorption and lack of desirable bone formation. In addition to osteoporosis, these conditions include metastatic bone cancer, myeloma, Paget's disease of bone, and bone fracture healing, especially in the elderly human patient.

According to another aspect of the invention, there are provided therapeutic agents for administration to a mammalian patient to alleviate the symptoms of pathological conditions in which bone density is decreased, and comprising biologically acceptable peptide compounds, protein sequences, small molecules and antibodies capable of interfering with the *in vivo* formation of a tertiary complex of IL-11, its cell surface membrane receptor IL-11R, and the cell surface glycoprotein gp130.

According to another aspect of the invention there is provided a novel use of the TRAP and bone nodule formation assays to allow the detection of IL-11 antagonists.

5 According to another aspect of the invention there are provided methods for the selective removal of IL-11 from a solution and methods for the purification or enrichment of IL-11 from solutions.

10 According to another aspect of the invention, there are provided therapeutic agents for administration to a mammalian patient to alleviate the symptoms of pathological conditions in which bone density is decreased, and comprising biologically acceptable IL-11 antagonists capable of interfering with the in vivo formation of a tertiary complex of IL-11, its cell surface membrane receptor IL-11R, and the cell surface glycoprotein gp130.

15  
20 According to another aspect of the invention, there are provided therapeutic agents for administration to a mammalian patient to alleviate the symptoms of pathological conditions in which bone density is decreased, and comprising transcribable genetic materials including antisense nucleic acids capable of inhibiting the translation of a component necessary to the formation of the IL-11 / IL-11R / gp130 tertiary complex.

25 According to another aspect of the invention, there are provided therapeutic agents for administration to a mammalian patient to alleviate the symptoms of pathological conditions in which bone density is decreased, and comprising expressible genetic materials including transcribable genetic materials encoding amino acid sequences capable of inhibiting the formation of the IL-11 / IL-11R / gp130 tertiary complex.

30 **BRIEF REFERENCE TO THE DRAWINGS**

Figure 1 A is a diagrammatic representation of native IL-11 receptor, showing the various regions thereof and its binding interactions with IL-11 and gp130;

5                  Figure 1B is a representation of cDNA sequence depicted in SEQ ID NO. 3 and employed in Example 3, below.

10                 Figure 2 is a detailed sequence of the gp130 binding region of native IL-11R and indicating the specifically preferred mutation sites and mutations of the products of the present invention. The extensively mutated sequence disclosed is SEQ ID NO. 4.

20                 Figure 3 is a representation of a portion of the IL-11 receptor peptide sequence indicating in bold the region of interaction with IL-11.

25                 Figure 4 is a representation of the sequence of peptide 1 (SEQ ID NO. 1) and peptide 2 (SEQ ID NO. 2) used in Example 5 below, indicating the activity observed in that experiment.

Figure 5 is a graphical presentation of the results obtained according to Example 1 below.

Figures 6 A, B and C are graphical presentations of the results obtained according to Example 2 below.

25                 Figures 7 A and B are graphical presentations of the results obtained according to Example 4 below.

30                 Figure 8 is a graphical presentation of the results obtained according to Example 5 below.

Figure 9 is a graphical presentations of the results obtained according to Example 6 below.

5

Figure10 is a graphical presentation of the results obtained according to Example 7 below.

10  
15  
20  
25  
30

### **DESCRIPTION OF THE PREFERRED EMBODIMENTS**

The preferred process according to the invention comprises treating or alleviating the symptoms of a pathological condition in which bone density is decreased in a mammalian patient suffering from such a condition, by administering to the patient an effective amount of a substance which inhibits the in vivo formation of a tertiary complex of IL-11, its cell surface membrane receptor IL-11R and the cell surface glycoprotein gp130. Examples of such substances include antibodies to IL-11, antibodies to IL-11R, antibodies to gp130, mutant forms of IL-11 receptor, small molecule antagonists of IL-11, and peptide compounds which include sequences which selectively interact with IL-11 in the region normally bound by IL-11R, so as to interfere with the normal interaction between IL-11 and IL-11R.

One group of preferred compounds of the present invention are recombinant soluble IL-11 R mutants which are modified, as compared with native IL-11R, so that they can participate in the IL-11/ IL-11R interaction but do not productively interact with gp130. As a result, the tertiary complex of IL-11, IL-11R and gp130 is not formed, or is formed only to a lesser extent, so that there is no, or a lesser, biological response. In a preferred embodiment, amino acid substitutions in the gp130 binding region, in these preferred compounds, substantially or completely abolish IL-11R interactions with gp130, while having little or no effect on IL-11 binding. However, mutations in other regions of the IL-11R protein can alter the characteristics of the gp130 binding site on IL-11R and prevent or inhibit productive IL-11R / gp130 interaction. Any and all soluble IL-11 receptor mutants which interfere with the formation of the IL-11 / gp130 / IL-11

tertiary complex, are within the scope of the present invention. Although the specific examples relate to the use of human-derived IL-11R sequences, the corresponding sequences from other mammals will also work.

The soluble IL-11Rs of the present invention are preferably mutated at one or more of positions 282, 283, 286, 289 and 291, as depicted in SEQ ID NO. 4, all of which are within the gp130 binding site of native IL-11R. Specific preferred mutations are D282 to G, A283 to D, G286 to D, H289 to Y, and V291 to L, independently or in combination of two or more such mutations. Amino acids are described herein with reference to their standard three letter and one letter codes. In particular, the symbols D, G, A, H, Y, V and L have the usual meanings in connection with individual amino acids, namely D represents aspartic acid, G represents glycine, A represents alanine, H represents histidine, Y represents tyrosine, V represents valine and L represents leucine.

Native IL-11R is a known protein having a molecular mass of about 46 kd. Its amino acid sequence has been determined. It comprises several distinct functional regions, as generally illustrated in Figure 1A of the accompanying drawings. It is normally bound to the cell surface membrane. It has a region for binding to IL-11. Another of its regions, from about position 270-300, is its gp130 binding site. These regions are indicated on Figure 1A wherein 1 represents the amino-terminal region containing 4 positional conserved cysteine residues, 2 represents an IL-11 binding region, 3 represents a gp130 binding region, and 4 represents the transmembrane domain.

The amino acid sequence of the gp130 binding site is shown in Figure 2. The present invention, in one of its preferred embodiments, provides IL-11Rs which are mutated in the gp130 binding site illustrated in Figure 2, by replacement of one or more of the native amino acids in this region with other amino acids. Specific preferred products of the present invention are those which have mutations at one or more of positions 282, 283, 286, 289 and 290 as

illustrated and as described above. These mutations effectively reduce or even eliminate binding to gp130, but do not materially affect binding to IL-11.

The mutant soluble IL-11Rs (sIL-11Rs) of the present invention can be prepared by known techniques. In a preferred process, cDNA encoding the IL-11R is cloned by RT-PCR using IL-11R specific primers and total RNA isolated from human osteosarcoma cells. The primers contain terminal restriction endonuclease sites for subsequent cloning into plasmid vectors. The sequence of a preferred cDNA sequence for insertion is depicted in Figure 1 B. After ligation into a suitable vector, the IL-11R DNA may be expressed in mammalian cells. Baby hamster kidney (BHK) cells constitute an example of a suitable host mammalian cell for this purpose. After extraction and purification, the sIL-11R DNA can be subjected to site-directed mutagenesis to modify the amino acids in the IL-11 receptor which mediate gp130 binding but do not significantly affect IL-11 binding, for example the amino acids identified above.

A second group of preferred compounds in accordance with the present invention are IL-11 binding peptides, which are peptide sequences which selectively interact with IL-11 and prevent the interaction between IL-11 and IL-11R necessary for the formation of the IL-11 / IL-11R / gp130 tertiary complex. Surprisingly, it has been determined that small amino acid sequences are capable of binding to IL-11 and preventing the normal interaction between IL-11 and IL-11R. In particular, a peptide with the amino acid sequence Arg Arg Leu Arg Ala Ser Trp Thr Tyr Pro Ala Ser Trp Pro Cys Gln Pro His Phe Leu (SEQ ID NO 1) has been identified which binds IL-11 and prevents its productive interaction with IL-11R. Even more surprisingly, it has been found that the peptide sequence Arg Arg Leu Arg Ala Ser Trp (SEQ ID NO 5) contained in the amino terminus of SEQ ID NO 1 is important to the ability of this peptide to prevent the productive interaction of IL-11 and IL-11R. This short peptide (SEQ ID NO. 5) is also capable of inhibiting the productive interaction of IL-11 and IL-11R. In addition, a third peptide (SEQ. ID. NO. 6) which is located near, but does not overlap, the sequences

corresponding to SEQ. ID. NO. 1 or SEQ. ID. NO. 5 on the human IL-11R has been found to inhibit the productive interaction between IL-11 and IL-11R.

Surprisingly, the amino acid sequences of the murine and human IL-11R differ somewhat in the regions corresponding to SEQ. ID. NO 5 and SEQ. ID. NO. 6 (which depict the human sequences). In particular, the human amino acid sequence described in SEQ. ID. NO. 5 is RRLRASW, whereas the murine sequence is RRLHASW (SEQ. ID. NO. 10). Thus, the presence of a basic amino acid residue in the position corresponding to position 4 in peptide 1 (SEQ. ID. NO.5) is preserved between the human and murine sequences, although the actual amino acid in that position varies. Thus, the peptides corresponding to SEQ ID NO 5 and SEQ ID NO 6 are IL-11 binding peptides and peptides having the amino acid sequence RRLXASW, where X is a basic amino acid (SEQ. ID. NO.7) are potential IL-11 binding peptides.

Additionally, SEQ. ID. NO. 6 depicts the amino acid sequence of an IL-11 binding region identified within the human IL-11R, namely: Ser Ile Leu Arg Pro Asp Pro Pro Gln Gly Leu Arg Val Glu Ser Val Pro Gly Tyr Pro. The corresponding murine sequence is depicted in SEQ. ID. NO. 8 and is: Ser Ile Leu Arg Pro Asp Pro Pro Gln Gly Leu Arg Val Glu Ser Val Pro Ser Tyr Pro. These sequences differ in their eighteenth amino acid whereby the human peptide has Gly and the murine sequence has Ser. Gly and Ser are both relatively small amino acid residues, having volumes of 60.1 and 89.0 Å<sup>3</sup> respectively, and accessible surface areas of 75 and 115 Å<sup>2</sup> respectively. This suggests that the relatively small size of amino acid 18 in this peptide facilitates interactions with IL-11. However, Gly and Ser differ in their hydrophilicity, suggesting that several factors may interact to govern the suitability of particular amino acid substitutions at position 18 in these peptides. Thus, although IL-11 binding peptides exist which have the amino acid sequence: Ser Ile Leu Arg Pro Asp Pro Pro Gln Gly Leu Arg Val Glu Ser Val Pro xxx Tyr Pro, where xxx is a suitable amino acid, it will be necessary to screen potential IL-11 binding peptides having this sequence using the TRAP assay and/or the bone nodule formation assay in order to determine if they are IL-11 binding peptides.

In addition to the amino acid substitutions discussed above, amino acid sequences from other mammals which correspond to IL-11 binding peptides identified from mammalian materials will also be potential IL-11 binding peptides. Thus, where there is variation between mammalian sequences, having identified an IL-11 binding peptide sequence in one mammalian species, it is possible to identify other IL-11 binding peptides with reference to the corresponding amino acid sequences in other mammals, and the pattern of conserved residues observed.

In light of the disclosure of the present application, it is within the capacity of a competent technician to identify and produce peptides capable of interacting with IL-11 and inhibiting the productive interaction between IL-11 and IL-11R, thereby inhibiting or preventing the formation of the IL-11 / IL-11R / gp130 complex. It will be obvious to one skilled in the art that peptide sequences containing amino acid substitutions or modifications which preserve the features essential for binding between the peptide and IL-11 are possible and are within the scope of the invention. Such peptides may comprise all or a portion of the amino acid sequence of SEQ ID NO 1, SEQ ID NO 5, SEQ. ID. NO. 6, SEQ. ID. NO.7, SEQ. ID. NO 8, or SEQ. ID. NO. 10. Alternatively, these peptides may have a substantially different amino acid sequence from these sequences, but may have functional attributes permitting specific interactions between these peptides and IL-11. Peptides which are IL-11 binding peptides may be readily identified using one or both of the TRAP assay and the bone nodule formation assay, discussed herein.

Protein and peptide sequences which selectively interact with IL-11 are valuable *in vitro* as well as *in vivo*. The present invention teaches a peptide sequence which selectively binds IL-11. It is therefore well within the capacity of a technician of ordinary skill to attach this peptide to a suitable substrate by way of an appropriate linking moiety. Once attached, the immobilized peptide sequence may be used to remove IL-11 from solutions. In particular, immobilized peptides can be used to deplete solutions of IL-11. Alternatively, immobilized

peptide can be used to bind IL-11 in one solution, and then release IL-11 in the presence of a second solution, thereby allowing the production of a solution enriched in IL-11 or with a reduced number or quantity of components other than IL-11.

5

Thus, another preferred embodiment of the present invention is a process of selectively removing IL-11 from solutions using immobilized peptides of the invention having an affinity for IL-11.

10

In this application, the term "small molecule" refers to a compound having a molecular weight of no more than 30 kd, and the term "IL-11 antagonist" refers to compounds which inhibit or prevent the productive interaction between IL-11 and IL-11R and which are less effective than IL-11 at promoting the productive interaction of IL-11R and gp130.

15

Particular IL-11 antagonists useful in the treatment of IL-11 related bone density disorders may be identified using the TRAP assay and the bone nodule formation assay, discussed herein. The TRAP assay is known in the art and involves co-culturing murine calvaria (osteoblasts) and bone marrow cells in vitro and then quantifying osteoclast formation by counting the number of tartrate-resistant acid phosphatase positive (TRAP+) multinucleated cells. In light of the disclosure herein, and particularly the information pertaining to IL-11 binding peptides, including their sequences and the pattern of conserved residues, it is within the capacity of a competent technician to design potential IL-11 antagonists which are not peptides but which have comparable binding specificities. These potential IL-11 antagonists may be screened to determine if they are IL-11 antagonists by examining their activity in the TRAP assay and the bone nodule formation assay.

20

25

30

Other preferred embodiments of the present invention are the use of the TRAP assay and the bone nodule formation assay, individually or in

combination, to screen samples for the presence of IL-11 antagonists, and to identify IL-11 antagonists.

A third group of preferred compounds are peptides which bind to IL-11R and prevent its productive interaction with IL-11. Candidate peptides which bind to IL-11R can be designed by molecular modelling of the IL-11 / IL-11R binding site in light of the disclosure in the present application. Alternatively, such peptides can be identified by screening potential peptides. IL-11R binding peptides may be identified from potential peptides by the ability of IL-11R binding peptides to reduce the formation of TRAP<sup>+</sup> MNC's in the TRAP assay and/or the ability of IL-11 binding peptides to reduce the inhibitory effect of IL-11 on bone nodule formation *in vitro*, using the bone nodule formation assay.

A fourth type of preferred compound for use in the present invention for inhibiting or preventing the formation of the IL-11/IL-11R/gp130 tertiary complex comprises antibodies which selectively bind to one of the components of the complex to interfere with the interaction between components to form the tertiary complex. Some anti-IL-11 antibodies are commercially available. Alternatively, antibodies may be prepared using standard techniques following the injection of a compound comprising the peptide of interest into a suitable animal. Useful antibodies include anti-IL-11 antibodies, anti gp130 antibodies, anti-IL-11R antibodies, and humanized monoclonal antibodies specific for a site of interest on one of the components of the tertiary complex.

The current invention teaches the sequence of the human IL-11R protein, the sequence of several IL-11 binding peptides, the sequence of IL-11 binding regions on the human and murine IL-11Rs, and the sequence of the gp130 binding region on IL-11R, as well as the means for the production of soluble IL-11Rs. It is, therefore, within the capability of a competent technician to produce and screen antibodies which specifically bind either the IL-11 binding region or the gp130 binding region on the IL-11R. These specific antibodies may be used to specifically inhibit the binding of IL-11 to the IL-11R through this binding region,

thereby inhibiting formation of the aforementioned complex *in vivo* without substantially affecting the levels of IL-11 in solution. This may be particularly useful in situations where it is desired to inhibit the formation of the IL-11 / IL-11R / gp130 complex, but it is still desired to maintain free IL-11 and functional gp130 to achieve some other biological effect.

A fifth type of preferred compound for use in the present invention for inhibiting or preventing the formation of the IL-11 / IL-11R / gp130 tertiary complex comprises small molecules capable of interfering with the IL-11R / IL-11 interaction by specific binding to either IL-11 or IL-11R in the IL-11 / IL-11R binding region. Small molecule antagonists of IL-11 and IL-11R may be identified using the TRAP assay and the bone nodule formation assay. Both IL-11 antagonists and IL-11R antagonists may be identified and/or synthesized in light of the features of the binding region of the compounds of the present invention. Features such as size, shape, hydrophilicity and charge which control molecular binding affinity are well understood, and it is well within the capacity of a competent technician to identify candidate small molecules having the potential to bind either IL-11R or IL-11 using molecular modelling in light of the peptides and proteins of the present invention. It is also within the capacity of a competent technician to screen the candidate small molecules for the ability to inhibit or prevent the formation of the IL-11 / IL-11R / gp130 complex using the TRAP assay and the bone nodule formation assay, known in the prior art and described below.

In light of the teachings of the present invention, it is also within the capability of a competent technician to produce transcribable genetic material capable of inhibiting the formation of the IL-11 / IL-11R / gp130 tertiary complex.

One variety of transcribable genetic material which can be used is DNA encoding antisense RNA complementary to the mRNA encoding a component necessary to the formation of the IL-11 / IL-11R / gp130 tertiary complex (including IL-11, IL-11R, gp130, or portions thereof), and capable of inhibiting or preventing the translation of this mRNA. The mRNA sequence of IL-

11 has been previously reported and sequence listings may be founds in the GENBANK database (Accession No.s M57766, M37007 (*M. Fascicularis*), Accession Nos. M81890, M57765, M37006 (human)). The mRNA sequence of gp130 has been previously reported and sequence listings may be founds the GENBANK database (Accession No.s M83336, MX62646 ). The mRNA sequence of the IL-11R alpha chain has been reported and a sequence listing may be found in the GENBANK database (Accession No. U32324). In light of this sequence information and the disclosure in the present patent application it is within the capacity of a competent technician to produce transcribable genetic material capable of inhibiting the formation of the IL-11 / IL-11R / gp130 tertiary complex. The capacity of a particular antisense mRNA to inhibit the translation of a component of the IL-11 / IL-11R / gp130 complex may be assessed using standard methods.

Antisense sequences may be used to inhibit the translation of the corresponding protein of interest (including IL-11, IL-11R, and gp130), thereby effecting a reduction in levels of that protein in the treated cells. This reduction of protein levels will reduce the protein available for binding in the IL-11 / IL-11R / gp130 tertiary complex, thereby reducing the formation of the tertiary complex. Transcribable genetic material encoding antisense nucleic acid sequences may be introduced into subjects by standard techniques, including gene therapy.

A second type of transcribable genetic material which may be used to inhibit the formation of the IL-11 / IL-11R / gp130 tertiary complex is transcribable genetic material encoding amino acid sequences capable of inhibiting the formation of the tertiary complex and containing amino acid sequences targeting these sequences to the appropriate location upon translation. Examples of such amino acid sequences are soluble mutant IL-11Rs, IL-11 binding peptides, and IL-11R binding peptides of the present invention with suitable amino acid residues added which target these sequences for secretion. Protein targeting and selective cleavage sequences are known in the art and it is well within the capacity

of a competent technician to produce transcribable genetic material encoding the amino acid sequence of interest and targeted for secretion.

In addition to the amino acid sequences discussed above, it is well within the capacity of a competent technician to identify other amino acid sequences which will inhibit or prevent the formation of the IL-11 / IL-11R / gp130 tertiary complex using the TRAP<sup>+</sup> assay, and/or the bone nodule formation assay. Having identified a peptide or protein which is capable of inhibiting the formation of the tertiary complex, it is possible to determine the amino acid sequence of that peptide or protein using standard methods. It is therefore well within the capacity of a competent technician to devise an expressible genetic element encoding the amino acid sequence of the peptide or protein of interest and bearing the desired post-translational modification and targeting information.

Transcriptional regulation elements may be selected so that the transcribable genetic material of the present invention is constitutively transcribed. Alternatively, the level of transcription may be regulated by transcriptional control elements which are sensitive to the level of an inducing compound. Suitable inducing compounds include substances naturally produced by tissues in the patient's body, the level of which may vary with the disease state or other factors. Alternately, the inducing compound may be a substance which is not normally present in levels sufficient to allow transcription of the transcribable genetic material. In such a case, the inducing compound may be introduced into the subject at the time and dosage necessary to stimulate the desired level of transcription.

Post menopausal osteoporosis is characterized by a general reduction in bone mass, resulting from an imbalance between osteoblast-mediated bone formation and bone resorption by the osteoclast. While the osteoblast is responsible for the formation of new bone or osteoid, it also appears to control the activation and/or number of osteoclasts by releasing cytokines such as IL-6 or IL-11. This process can be examined using a "TRAP assay" which involves co-

5 culturing murine calvaria (osteoblasts) and bone marrow cells *in vitro* and then quantifying osteoclast formation by counting the number of tartrate-resistant acid phosphatase positive (TRAP+) multinucleated cells. Ovariectomized (OVX) rats or mice provide a satisfactory animal model for postmenopausal women in studies of osteoporosis.

10 Initial experiments comparing the ability of marrow cells isolated from sham-operated, OVX, and OVX mice treated with IL-11 neutralizing antibody, to form osteoclasts *in vitro* demonstrated that IL-11 Ab treatment reduces osteoclast levels below those obtained from sham-operated animals. Accordingly, since bone density is determined by balancing bone formation with bone resorption, inhibitors 15 of IL-11R binding to gp130 reverse bone loss in post-menopausal patients.

20 Using cocultures of murine calvaria and bone marrow cells, it has been shown that IL-11 is a potent simulator of osteoclast formation *in vitro*. Moreover, it has been demonstrated that IL-11 inhibits bone formation when murine calvaria cells (primary osteoblasts) are cultured in the presence of 250 µM ascorbic acid and 10mM β-glycerol phosphate (the "bone nodule formation assay"). Thus, by targeting IL-11 one can not only inhibit the process of osteoclastogenesis and therefore pathological bone loss, but one can also restore 25 previously lost bone by stimulating the process of bone formation.

25 The *in vitro* TRAP assay also provides a convenient means to screen the effectiveness of soluble mutant IL-11Rs, IL-11-binding peptides, IL-11R binding peptides, and small molecules prior to use *in vivo*. In particular, when small molecules, IL-11 binding peptides, IL-11R binding peptides or mutant IL-11Rs are produced, their effectiveness at inhibiting the formation of a functional tertiary complex may be assessed *in vitro* using the TRAP assay. Those compounds 30 causing a significant reduction in TRAP+ MNC's in the presence of exogenous IL-11 under assay conditions are deemed to be effective at inhibiting the formation of the tertiary complex. Where a potential compound of interest is contained within a mixture of compounds, it may be desirable to separate these compounds

by standard means prior to examination using the TRAP assay. Such separation will allow the removal of potentially undesirable compounds, as well as reducing the number of possible compounds producing the results observed.

5 In addition to allowing the identification of compounds useful to inhibit the formation of the tertiary complex, the TRAP assay allows an assessment of the relative effectiveness of various compounds of the present invention. The terms small molecule, IL-11 binding peptide, IL-11R binding peptide and mutant IL-11R when used herein refer to small molecules, peptides or proteins which are effective at inhibiting the formation of the IL-11/IL-11R/gp130 tertiary complex *in vitro* when tested using the TRAP+ assay. The terms anti IL-11 antibody, and anti IL-11R antibody when used herein refer to antibodies or portions or functional equivalents thereof which are effective at inhibiting the formation of the IL-11 / IL-11R / gp 130 tertiary complex *in vitro* when tested using the TRAP assay.

10  
15 The bone nodule formation assay provides a second convenient method to screen the effectiveness of soluble IL-11R's, IL-11 bonding peptides, IL-11R binding peptides, and small molecules at inhibiting the formation of the IL-11 / IL-11R / gp130 tertiary complex. A compound to be assessed may be added to the bone nodule formation assay system, and the effect of the compound on bone nodule formation may be assessed. As with the TRAP assay, in some circumstances it may be desirable to separate various compounds contained within a sample prior to examination using the bone nodule formation assay. Those compounds causing a significant reduction in the inhibition of bone nodule formation by IL-11 under assay conditions are deemed to be effective at inhibiting the formation of the tertiary complex. In addition to allowing the identification of compounds useful in inhibiting the formation of the tertiary complex, this assay allows an assessment of the relative effectiveness of various compounds of the present invention.

20  
25  
30

Compounds of the present invention may be administered systemically or locally, by various modes of administration, and in various forms.



The desired dosage of active compound will vary, depending on the mode of administration, the condition to be treated, the overall condition of the subject, and the compound administered. It is well within the capability of a competent technician to determine the appropriate dosage for a particular patient in light of these factors. It is anticipated that where the systemic administration of the solubilized mutant IL-11R of the present invention by injection is desired, the appropriate dosage will be between 1 mg to 20 mg of the soluble mutant IL-11R per kg body weight. Depending on the subject and the condition to be treated, dosages will be more preferably between 1 to 10 mg per kg body weight for subjects whose existing bone density is not extremely low and between 10 mg to 20 mg per kg body weight for subjects whose bone density is extremely low. It is anticipated that in many pathological conditions the appropriate systemic dosage of solubilized mutant IL-11R will be similar to the appropriate dosage of parathyroid hormone for the treatment of a comparable patient.

Where localized administration of the compounds of the present invention is desired, the appropriate localized dosage can be determined with reference to the level of compound desired in the treatment area. It is anticipated that the total dosage required for localized treatment will be lower than that level required for systemic treatment, and in many cases the appropriate localized dosage will be ten to one-hundred fold lower than the amount of compound required for systemic treatment.

Where it is desired to use a small molecule, IL-11 binding peptide, IL-11R binding peptide or a specific antibody instead of, or in addition to, solubilized mutant IL-11R, the appropriate dosage may be easily determined based on the appropriate dosage of IL-11R. The small molecule, peptide or antibody dosage will be a function of the solubilized mutant IL-11R dosage, adjusted to provide a comparable level of effective target binding based on the abundance of the target, the relative molecular mass and binding affinity of the small molecule, peptide or antibody for its target relative to the solubilized mutant IL-11 R, its relative effectiveness at blocking tertiary complex formation *in vitro*, and

its relative half-life *in vivo*. Target abundance, molecular mass, binding affinity and *in vivo* half life of a particular small molecule, antibody or peptide may be determined by standard methods. The effectiveness of the compound at blocking the formation of the tertiary complex *in vitro* may be assessed using the TRAP assay and/or the bone nodule formation assay, as previously discussed. It is anticipated that the appropriate dosage of the IL-11-binding peptides of the present invention when administered by local injection will frequently be between 0.1 to 10 mg per kg body weight. The dosage of a particular IL-11 binding peptide needed for a particular patient may be easily determined with reference to the factors discussed above, the patient's overall condition, and the disorder to be treated.

In some instances it will be desirable to enhance the activity and/or *in vivo* half-life of the peptides of the present invention by the chemical modification of these peptides to increase activity or to inhibit *in vivo* degradation. For example, chemical moieties may be covalently attached to specific amino acids to interfere with the action of degradative enzymes. Alternatively or additionally, specific amino acids in the peptide sequence may be chemically modified to increase the overall peptide half life without greatly reducing specific binding between the peptide and IL-11. Additionally, it will be desirable in some instances to employ one or more D-isomer amino acid residues in the formation of these peptides. In some instances it will be desirable to cyclize the peptides. For example, cyclization can be used to stabilize a particular peptide conformation in order to obtain a desired level of binding. Methods of modifying peptide sequences by the addition of chemical moieties to amino acids, the chemical modification of specific amino acids, the cyclization of peptides, and the incorporation of D-isomers of amino acids are known in the art and it is within the capacity of a competent technician in light of this disclosure to determine what modifications are appropriate and how to effect these modifications.

Where the compound to be administered comprises antisense genetic material to be expressed within the patient's cells, the appropriate dose will

also depend on the level of transcription of the antisense material, and its stability and binding affinity for its complementary IL-11R RNA in the cell.

5           Alternatively or additionally, expressible genetic material encoding a secreted form of a protein or peptide sequence of interest may be introduced into suitable MHC-compatible cells *ex vivo* by standard methods and these cells may be introduced into the body of the patient by standard methods. These cells may be administered locally in the region where increased bone deposition or decreased bone resorption is needed, or they may be administered generally. The expressible genetic element may be constitutively active, or it may be inducible. The appropriate dosage of expressible genetic elements will depend on the mode of administration, the condition to be treated, the patient's condition, the stability of the coding RNA and its protein product, the level of protein expression, and other factors influencing the level of effective target binding, as discussed in relation to IL-11 binding peptides, IL-11R binding peptides, small molecules and specific antibodies. In general, it is anticipated that the appropriate dosage of expressible genetic elements will be the dosage which leads to a level of secreted protein or peptide in the vicinity of the target cells which is generally similar to the level of protein or peptide present in the vicinity of the target cells when the appropriate level of that peptide is administered directly to the patient.

10           Where the compound to be administered comprises an antibody which specifically binds to IL-11, IL-11R, or gp130 and prevents or inhibits the formation of the tertiary complex, either systemic or localized administration of the compound may be possible. Localized administration may be accomplished by various means, including the injection of a solution containing the antibody of interest into the region proximate to the tissue to be treated. Alternatively or 15           additionally, MHC-compatible cells secreting the antibody of interest may be introduced into the subject's body in either a systemic or a localized manner.

20           The invention is further described, for illustrative purposes, in the following specific examples.

**EXAMPLE 1 - IL-11 INHIBITS BONE NODULE FORMATION**

The effect of IL-11 on bone formation was examined using the bone nodule formation assay. Murine calvaria cells (primary osteoblasts) were cultured in the presence of 250 µM ascorbic acid and 10 mM β-glycerol phosphate, and in the presence of various amounts of interleukin-11. After culturing, the culture media were plated out and the number of bone nodules per plate was counted. The results are shown on the accompanying Figure 5, where number of bone nodules per plate is plotted as the vertical axis, with the different concentrations of interleukin on the horizontal axis, not to scale. Each bar represents the result on experiments containing a different concentration of interleukin-11, as noted on Figure 5.

It is clearly seen that the experiment conducted in the absence of interleukin-11 led to the highest number of bone nodules per plate, and that the number of bone nodules per plate decreased as the interleukin-11 concentration increased. This demonstrates that IL-11 inhibits bone formation.

**EXAMPLE 2 - DEMONSTRATION OF THE ABILITY OF ANTI-IL-11-NEUTRALIZING ANTIBODIES TO HALT AND TO REVERSE BONE LOSS IN OVARIECTOMIZED ANIMALS**

Twenty four laboratory mice were divided into four equal groups of six. Three of the groups were ovariectomized (OVX) while the fourth group was sham-operated (SHAM), to act as a control. One week after ovariectomy, treatment of one group of ovariectomized animals (OVX + Anti IL-11 Ab) with a daily dose of 160 µg/mouse of anti-IL-11 Ab (an affinity-purified sheep anti-murine IL-11 polyclonal neutralizing antibody) commenced. At the same time, treatment of another group of ovariectomized animals (OVX + NSIgG) with the same daily dosage of normal sheep immunoglobulin (NSIgG) was commenced. Treatments were delivered once daily by intraperitoneal injection. Plasma analysis

demonstrated that IgG entered the circulation of NSIgG group animals. Animals in the remaining two groups received no treatment.

On the day on which treatment was commenced, the sham-operated animals (Sham-Baseline) and an equal number of the untreated ovariectomized animals (OVX-Baseline) were sacrificed to obtain their right femurs for histomorphometric analysis, so that baseline values could be established.

On day 21 after the commencement of the treatment, the remaining animals were similarly killed and their right femurs removed for bone histomorphometry. For this, the undecalcified distal third of the right femur of each mouse was embedded in glycolmethacrylate (JB-4 embedding medium; Analychem, Toronto, Ontario, Canada). Histologic sections of 6 to 8  $\mu\text{m}$  were obtained using a Riechert Jung microtome (model K4; Riechert Jung Canada, Toronto, Ontario), mounted, and then stained with either 1% toluidine blue or hematoxyline and eosin (H & E) before being subjected to morphometric analysis. In each case, a region 800  $\mu\text{m}$  below the epiphyseal growth plate that included the entire metaphysis was subjected to light microscopy using a Merz grid (Carl Zeiss Canada, Don Mills, Ontario). Sections examined in this fashion encompassed a total tissue area of 5-8mm<sup>2</sup>. The following parameters were determined: (1) cancellous bone volume, (2) osteoblast surface, (3) osteoid surface, and (4) osteoclast surface. For each section, cancellous bone volume was calculated from a total of >1,600 point measurements (45 fields; 400x magnification), which were selected at random using the Merz grid. The percent osteoblast, osteoid, or osteoclast surface was calculated under oil immersion (1,000x) by recording the presence or absence of each where the hemispherical grid of the Merz radical crossed cancellous bone. Osteoblasts were identified morphologically as distinct cuboidal-shaped cells lining the cancellous bone surface, whereas osteoclasts were identified morphologically as large multinucleated cells in close proximity to the cancellous bone surface, which stained for tartrate-resistant acid phosphatase (Sigma Chemical Co., St. Louis, MO; Procedure No. 386).

The results of measurements of cancellous bone volume are presented graphically in Figure 6A. Clearly the animals of the OVX + Anti IL-11 Ab group, treated with IL-11 antibody, had a much larger volume of cancellous bone than the untreated OVX group and the negative control OVX NSIgG, IgG treated group. Measurements on the femurs from sham and OVX animals sacrificed on the day on which treatment was commenced establish baseline values for the bone volume increases. It will be noted that the test animals of the OVX + Anti IL-11 Ab group showed a significant gain in cancellous bone volume as compared to OVX baseline, indicating that cancellous bone loss was not only prevented but that it was reversed by the inhibition of biological activity of IL-11.

Figure 6 B of the accompanying drawings presents the results of osteoid surface measurements, and indicates that the OVX + Anti IL-11 Ab animals exhibited higher rates of bone formation than the comparative groups, demonstrating that by inhibiting IL-11 biological activity one can promote the formation of new bone, reverse bone loss, and increase bone density in OVX mice.

Figure 6 C of the accompanying drawings similarly presents the results of osteoclast surface measurements, and shows a notable reduction in the case of the OVX + Anti IL-11 Ab animals treated with the IL-11 antibodies. This again indicates that the OVX + Anti IL-11 Ab animals exhibited much less bone resorption than the comparative groups, in further demonstration of the fact that inhibition of biological activity of IL-11 prevents and even reverses bone resorption in OVX mice.

### **EXAMPLE 3 - PREPARATION OF SOLUBLE INTERLEUKIN-11 RECEPTOR THAT INHIBITS IL-11 INDUCED OSTEOCLAST FORMATION**

cDNA encoding the IL-11 receptor (minus the transmembrane and cytoplasmic domains) was cloned by RT-PCR using IL-11 receptor specific primers and total RNA isolated from the human osteosarcoma cell line SAOS-2. Primer

5 sequences were based on the DNA sequence for the human IL-11 receptor  $\alpha$ -chain. The forward primer contains a Kozak consensus sequence preceding the start ATG codon, while the reverse primer contains, in addition to a termination codon, bases encoding a histidine tag. Both primers contain terminal restriction endonuclease sites for subsequent cloning into plasmid vectors. Authenticity of the cDNA insert encoding the soluble IL-11 receptor (cDNA depicted in SEQ ID NO 3) is confirmed by restriction endonuclease analysis and by double-stranded DNA sequencing using a modified T7 DNA polymerase system (Sequenase, Amersham).

10 For stable expression in mammalian cells, the IL-11R cDNA is gel-purified and ligated into the pcDNA3.1 vector (Invitrogen, Carlsbad, CA) which encodes a neomycin gene and allows for selection under high concentrations of G418. The cDNA is inserted upstream of the human cytomegalovirus (CMV) immediate-early promoter/enhancer (this allows for high-level expression in a variety of mammalian cell lines) and downstream of the bovine growth hormone (BGH) polyadenylation signal (which allows for efficient transcript stabilization and termination). The cDNA sequence to be inserted was formed from the cDNA sequence corresponding to nucleotides 62 to 1156 on the IL-11R cDNA as identified by Van Leuven et al., with an additional 39 nucleotides added to the 3' end of this sequence to provide a thrombin cleavage site, a histidine tag, and a stop codon. The cDNA sequence inserted (SEQ ID NO. 3) is depicted in Figure 1B.

25 Proper orientation of the inserted IL-11R cDNA is confirmed by using restriction endonuclease analysis and DNA sequence analysis. After approximately 10-12 days of selection in medium containing neomycin, drug-resistant colonies are isolated and the highest secreting clones are seeded into roller bottles and the medium collected every 2-3 days. Soluble IL-11R is purified from the collected medium using a Ni<sup>2+</sup>-IDA column and subsequently eluted using EDTA. Antigen levels are determined by ELISA using antibodies to both the histidine tag as well as the IL-11 receptor.

To assure that the sIL-11R does not associate with gp130, site-directed mutagenesis is used to modify the amino acids in the IL-11 receptor which mediate gp130 binding but do not affect IL-11 binding. Specifically, the process mutates D282 to G, A283 to D, G286 to D, H289 to Y, and V290 to L, independently or in combination. The relevant portion of the IL-11R, and the preferred mutations, are depicted in Figure 2. Site-directed mutagenesis is performed as described previously (Austin, Richard C. et al. "FEBS Letters", Vol. 280, No. 2, 254:258 (March 1991) Federation of European Biochemical Societies) using mutant oligodeoxynucleotide primers synthesized at the Central Facility of the Institute for Molecular Biology and Biotechnology, McMaster University. Prior to expression in BHK cells, the resultant sIL-11R mutant cDNAs are then inserted into pcDNA3.1, and the sequences of all the sIL-11R mutant cDNAs are confirmed by sequencing as described above.

**EXAMPLE 4 - DETERMINATION OF THE EFFECT OF A sIL-11R ANTAGONIST  
ON IL-11 INDUCED OSTEOCLAST FORMATION *IN VITRO***

The effect of IL-11 on osteoclast development in cocultures of murine bone marrow and calvaria cells was examined using standard techniques. Briefly, bone marrow cultures were established by removing femurs from mice, dissecting away soft tissue, and removing the distal and proximal ends of the femur. The marrow was then flushed with 5 ml  $\alpha$  MEM and 1.0 % penicillin-streptomycin using a 25 gauge needle. The bone marrow cells were then suspended to a concentration of 5 000 000 cells per ml in  $\alpha$  MEM containing 15 % fetal calf serum (charcoal treated) to remove cells adherent to plastic. The non-adherent cells were then co-cultured for an additional 9 days with murine calvaria cells prior to being fixed and stained for TRAPase activity (stains were obtained from Sigma Chemical Co., St. Louis, MO). TRAP+ MNC's have the ability to form resorption pits in smooth cortical bone slices and are therefore considered to be of osteoclast origin.

- (i) IL-11 dose-dependancy of TRAP+ cell formation

The effect of IL-11 on osteoclast development in cocultures of murine bone marrow and calvaria cells was examined by maintaining these cultures in the presence of various specific concentrations of IL-11 for 9 days. After 9 days of culture, the cells were stained for TRAPase activity and the number of multinucleated TRAP+ cells were determined. The results of this experiment are depicted in Table I. Data are expressed as mean +/- SEM.

(ii) Impact of mutant IL-11 receptor on IL-11 induced osteoclast formation

In order to assess the effect of a gp130 binding region mutant IL-11R on IL-11 induced osteoclast formation, the procedure described in (i) above was repeated using 20 ng/ml IL-11 and 10, 100, or 1000 ng/ml of either (A) the H289→Y289 mutant solubilized IL-11 receptor described in Example 3, or (B) a corresponding solubilized native IL-11 receptor. The results of this experiment, depicted in Figures 7 A and B, demonstrate that a mutant IL-11 receptor is capable of inhibiting IL-11-induced osteoclast formation, whereas the native IL-11 receptor is not.

**EXAMPLE 5 - DETERMINATION OF THE EFFECT OF A PEPTIDE IL-11R ANTAGONIST ON IL-11 INDUCED OSTEOCLAST FORMATION IN VITRO**

It is desirable to have a means of selectively inhibiting the interaction of IL-11 with the IL-11R, without adding exogenous antibodies or other large proteins. Surprisingly, it was determined that a short peptide sequence could be created which is capable of inhibiting the interaction between IL-11 and the IL-11R. A peptide with the amino acid sequence Arg Arg Leu Arg Ala Ser Trp Thr Tyr Pro Ala Ser Trp Pro Cys Gln Pro His Phe Leu ("peptide 1", SEQ ID NO. 1) was synthesized which is homologous to a region in the IL-11 receptor which appears to bind IL-11.

To determine if peptide 1 could inhibit IL-11-induced MNC formation, the procedure of Experiment 4 was repeated using peptide 1 in place of the gp130 binding region mutant IL-11 receptor protein, and using an overlapping peptide ("peptide 2", SEQ ID NO. 2) in place of the solubilized native IL-11 receptor. Peptide 2 has the amino acid sequence Thr Tyr Pro Ala Ser Trp Pro Cys Gln Pro His Phe Leu Leu Lys Phe Arg Leu Gln Tyr (SEQ ID NO 2) and represents a portion of the amino acid sequence occurring in the native IL-11 receptor, and overlapping in part with the sequence of peptide 1. Peptide 2 lacks the N-terminal

Arg Arg Leu Arg Ala Ser Trp sequence (SEQ ID NO. 5) which is contained in peptide 1. The sequence of these peptides is depicted in Figure 4.

Peptide 1 inhibits IL-11 induced osteoclast formation, whereas peptide 2 does not. The results of this experiment pertaining to peptide 1 are depicted in Figure 8. This indicates that a peptide sequence comprising Arg Arg Leu Arg Ala Ser Trp is capable of interacting with IL-11 and acting as an antagonist to IL-11 mediated activation of the osteoclast formation. The ability of peptide 1, and particularly the peptide sequence Arg Arg Leu Arg Ala Ser Trp to inhibit osteoclast formation indicates that this peptide is interacting with IL-11. Thus, peptide 1 is an example of an IL-11 binding peptide.

**EXAMPLE 6 - DETERMINATION OF THE EFFECT OF IL-11 ANTAGONISTS ON THE ABILITY OF IL-11 TO INHIBIT BONE NODULE FORMATION *IN VITRO***

It was desired to determine if the IL-11 antagonists of the invention could control bone nodule formation, and in particular, if these antagonists could reduce the inhibitory effect of IL-11 on bone nodule formation.

Bone nodule formation was measured using standard techniques. Briefly, calvaria cell cultures were established as follows: Calvaria cells were obtained from 2 day old fetal mouse calavariae by collagenase digestion. The cells were then cultured for 21 days in the presence of 0.5 mM ascorbic acid and 10 mM  $\beta$ -glycerophosphate. Where indicated in the experimental descriptions below, IL-11 and the mutant IL-11 receptor of experiment 4 were added to the culture at day 0 and every 3-4 days thereafter until the removal of the medium for analysis. Bone nodule formation was quantified by counting alizarin red stain nodules under a light microscope.

Exogenous IL-11 alone added to murine calvaria cell culture can inhibit bone nodule formation, as depicted in Figure 5. The effect of a gp130

binding region mutant soluble IL-11 receptor of type described in Experiment 4 and produced by the process of Experiment 3 on bone nodule formation in the presence of 20 ng/ml of exogenous IL-11 was assessed, and the results are depicted in Figure 9. These results indicate that very low levels of mutant solubilized IL-11 receptor can reverse the effect of exogenous IL-11. Moreover, the addition of 10 ng/ml of the mutant receptor used in this experiment was capable of allowing enhanced bone nodule formation relative to the level observed in the absence of exogenous IL-11. Thus, IL-11 antagonists such as mutant IL-11Rs can enhance bone nodule formation.

**EXAMPLE 7 - DETERMINATION OF THE EFFECT OF AN IL-11 ANTAGONIST PEPTIDE ON THE ABILITY OF IL-11 TO INHIBIT BONE NODULE FORMATION IN VITRO**

It was desired to determine if bone nodule formation could be modulated in a manner similar to that reported in Example 6 using an IL-11 antagonist peptide such as the one used in Example 5. To achieve this, the procedure of Example 6 was repeated, with the substitution of peptide 1 for the solubilized mutant IL-11 receptor. The results of this experiment are depicted in Figure 10. Peptide 1 was able to reduce the inhibitory effects of 20 ng/ml exogenous IL-11 at very low concentrations, and at concentrations of 50 ng/ml, peptide 1 allowed bone nodule formation in excess of that seen in the control samples. Peptide 2 was unable to reduce the inhibition of nodule formation by IL-11. Thus, peptide 1 (SEQ ID NO.1), and particularly the peptide sequence Arg Arg Leu Arg Ala Ser Trp (SEQ ID NO.5), is capable of allowing enhanced bone nodule formation.

WHAT IS CLAIMED IS:

1. A process of treating or alleviating the symptoms of a pathological condition in which bone density is decreased which comprises inhibiting, in a mammalian patient suffering from such a condition, the formation of a tertiary complex of IL-11, IL-11R, and gp130.
2. The process of claim 1 which comprises administering to the patient an effective amount of a substance which inhibits, *in vivo*, the formation of a tertiary complex of IL-11, IL-11R, and gp130.
3. The process of claim 2 wherein the pathological condition is postmenopausal bone loss.
4. The process of claim 2 wherein the substance is a mutant IL-11R.
5. The process of claim 4 wherein the substance is a mutant IL-11R with at least one mutation in its gp130 binding region.
6. The process of claim 5 wherein the substance is a mutant IL-11R having at least one of the following mutations: D282→G282, A283→D283, G286→D286, H289→Y289, and V291→L291.
7. The process of claim 6 wherein the substance is a mutant IL-11R having the mutation H289→Y289.
8. The process of claim 6 wherein the substance is a soluble mutant IL-11R.
9. The process of claim 8 wherein the mutant IL-11R is a human IL-11R.

10. The process of claim 2 wherein the substance is an anti IL-11 antibody.
11. The process of claim 2 wherein the substance is an IL-11 binding peptide.
- 5
12. The process of claim 11 wherein the substance is an IL-11 binding peptide having an amino acid sequence which specifically binds IL-11 in the region normally bound by IL-11R.
- 10
13. The process of claim 12 wherein the substance is a peptide comprising the sequence Arg Arg Leu Arg Ala Ser Trp .
14. The process of claim 2 wherein the substance is a small molecule.
15. The process of claim 2 wherein the substance is an IL-11 antagonist.
- 20
16. The process of claim 2 wherein the substance is an IL-11R binding peptide.
17. The process of claim 2 wherein the substance is an anti IL-11R antibody which inhibits interactions between IL-11 and the IL-11R.
- 25
18. The process of claim 2 wherein the substance is an anti IL-11R antibody which inhibits interactions between IL-11R and gp130.
19. The process of claim 1 which comprises administering to a patient an effective amount of transcribable genetic material which causes inhibition of the formation of the tertiary complex of IL-11, IL-11R, and gp130.
- 30

- 5
- 10
- 15
- 20
- 25
- 30
20. The process of claim 19 wherein transcribable genetic material encodes an RNA sequence capable of inhibiting the translation of a component necessary to the formation of the IL-11 / IL-11R / gp130 tertiary complex.
  21. The process of claim 20 wherein the transcribable genetic material comprises DNA encoding an RNA sequence complementary to IL-11 mRNA.
  22. The process of claim 20 wherein the transcribable genetic material comprises DNA encoding an RNA sequence complementary to IL-11R mRNA.
  23. The process of claim 20 wherein the transcribable genetic material comprises DNA encoding an RNA sequence complementary to gp130 mRNA.
  24. The process of claim 19 wherein the transcribable genetic material comprises DNA encoding an amino acid sequence capable of inhibiting the formation of the IL-11 / IL-11R / gp130 tertiary complex.
  25. The process of claim 24 wherein the transcribable genetic material encodes an IL-11R mutated to inhibit binding to gp130.
  26. The process of claim 24 wherein the transcribable genetic material encodes an IL-11 binding peptide.
  27. A composition of matter comprising a mutant IL-11R.

28. The composition of claim 29 in which the mutant IL-11R has at least one of the following mutations: D282→G282, A283→D283, G286→D286, H289→Y289, and V291→L291.
- 5 29. The composition of claim 30 in which the mutant IL-11R has the H289→Y289 mutation.
30. The composition of claim 28 wherein the mutant IL-11R is soluble.
- 10 31. The composition of claim 29 wherein the mutant IL-11R is a human IL-11R.
32. A composition of matter comprising an IL-11 binding peptide or an IL-11R binding peptide.
- 15 33. The composition of claim 32 wherein the peptide is an IL-11 binding peptide comprises the sequence Arg Arg Leu Arg Ala Ser Trp.
- 20 34. The composition of claim 32 wherein the peptide is an IL-11 binding peptide comprises the sequence Arg Arg Leu His Ala Ser Trp.
- 25 35. The composition of claim 32 wherein the peptide is an IL-11 binding peptide comprises the sequence Arg Arg Leu X Ala Ser Trp, and X is a basic amino acid.
- 30 36. The composition of claim 32 wherein the peptide is an IL-11 binding peptide comprises the sequence Ser Ile Leu Arg Pro Asp Pro Pro Gln Gly Leu Arg Val Glu Ser Val Pro Gly Tyr Pro.

37. The composition of claim 32 wherein the peptide is an IL-11 binding peptide comprises the sequence Ser Ile Leu Arg Pro Asp Pro Pro Gln Gly Leu Arg Val Glu Ser Val Pro Ser Tyr Pro.
- 5 38. The composition of matter of claim 32 immobilized on an appropriate substrate.
- 10 39. The composition of matter of claim 32 consisting essentially of an IL-11R binding peptide.
40. A composition of matter useful in inhibiting IL-11 / IL-11R interactions comprising an antibody which specifically binds the IL-11R and blocks interactions between IL-11 and IL-11R.
- 15 41. A composition of matter useful in inhibiting IL-11R / gp130 interactions via the gp130 binding site on IL-11R comprising an antibody which specifically binds the IL-11R and blocks interactions between gp130 and IL-11R.

20

25

### ABSTRACT OF THE DISCLOSURE

There is disclosed a process of treating or alleviating the symptoms of pathological conditions in which bone density is decreased, which comprises inhibiting, in a mammalian patient suffering from such a condition, the formation *in vivo* of a tertiary complex of IL-11, its cell surface membrane receptor and the cell surface glycoprotein gp130. Examples of such substances are recombinant soluble IL-11 receptor mutants modified, as compared with native IL-11 receptor, at their gp130 binding site, and peptides which can interact with IL-11. The process of the invention not only inhibits bone resorption and hence bone loss, but also increases the process of bone formation to increase bone density.

**Figure 1 A**

**Interleukin 11 Receptor (IL-11R)**

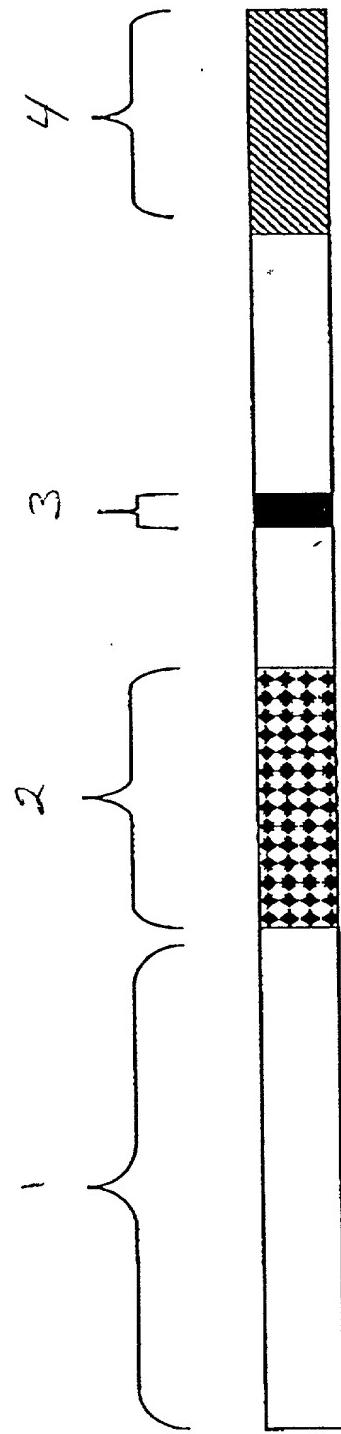


Figure 1 B cDNA sequence inserted to generate soluble IL-11R

SEQ ID NO. 3

ATGAGCAGC AGCTGCTCAG GGCTGAGCAG GGTCTGGTG GCCGTGGCTA CAGCCCTGGT  
GTCTGCCTCC TCCCCCTGCC CCCAGGCCTG GGGCCCCCA GGGGTCCAGT ATGGGCAGCC  
AGGGAGGTCC GTGAAGCTGT GTTGTCTGG AGTGAUTGCC GGGGACCCAG TGTCTGGTT  
TCGGGATGGG GAGCCAAAGC TGCTCCAGGG ACCTGAUTCT GGGCTAGGGC ATGAUTCTGGT  
CCTGGCCAG GCAGACAGCA CTGATGAGGG CACCTACATC TGCCAGACCC TGGATGGTGC  
ACTTGGGGC ACAGTGAUTCC TGCAGCTGG CTACCCCTCA GCCCAGCCCTG TTGTCTCCTG  
CCAAGCAGCC GACTATGAGA ACTTCTCTTG CACTGGAGT CCCAGCCAGA TCAGCGGTTT  
ACCCACCCGC TACCTCACCT CCTACAGGAA GAAGACAGTC CTAGGAGCTG ATAGCCAGAG  
GAGGAGTCCA TCCACAGGGC CCTGGCCATG CCCACAGGAT CCCCTAGGGG CTGCCCCTG  
TGTTGTCCAC GGGGCTGAGT TCTGGAGCCA GTACCAGGATT AATGTGAUTG AGGTGAACCC  
ACTGGGTGCC AGCACACGCC TGCTGGATGT GAGCTTGAG AGCATCTTC GCCCTGACCC  
ACCCAGGGC CTGCGGGTAG AGTCAGTACC AGGTTACCCC CGACGCCTGC GAGCCAGCTG  
GACATACCCCT GCCTCCTGGC CGTGCCAGCC CCACCTCCTG CTCAAGTTCC GTTTGCAGTA  
CCGTCGGCG CAGCATCCAG CCTGGTCCAC GGTGGAGCCA GCTGGACTGG AGGAGGTGAT  
CACAGATGCT GTGGCTGGC TGCCCCATGC TGTACGAGTC AGTGCCCCGGG ACTTTCTAGA  
TGCTGGCACC TGGAGCACCT GGAGCCCCGA GGCTGGGA ACTCCGAGCA CTGGGACCAT  
ACCAAAGGAG ATACCAGCAT GGGGCCAGCT ACACACGCCAG CCAGAGGTGG AGCCTCAGGT  
GGACAGCCCT GCTCCTCCAA GGCCCTCCCT CCAACCACAC CCTCGGCTAC TTGATCACAG  
GGACTCTGTG GAGCAGCTGG TGCCACGCCG TTCTCATCAC CATCATCACC ACTGA

**Figure 2**

**gp 130 binding region**

282 283 284 285 286 287 288 289 290 291      Native  
- D - A - V - A - G - L - P - H - A - V -  
↓      ↓      ↓      ↓      ↓      ↓      ↓  
- G - D - V - A - D - L - P - Y - A - L -      Mutant    32Q ID<sup>c</sup>

Figure 3

## Human Interleukin 11 Receptor Protein Sequence

MSSSCSGLSRVILVAVATALVSASSSPCPQAWGPPGVQYGQPGRSVKLCCP  
GVTAGDPVSWFRDGEPKLLQGPDSGLGHELVLAQADSTDEGTYCICQTL  
DGALGGTVTLQLGYPPARPPVSCQAADDYENFSCTWSSPSQISGLPTTRYLT  
SYRKKTVLGADSQRSPSTGPWPCPQDPLGAARCVVHGAEFFWSQYRIN  
VTEVNPLGASTRLLDVSLQSIILRPDPPQGLRVESVPGYPRRIRASWTYP  
ASWPQCQPHFLKFRLQYRPAQHPAWSTVEPAGEEVITDAVAGLPHAV  
RVSARDFLDAGTWSTWSPEAWGTPSTGTPKEIPAWGQLHTQPEVEPQV  
DSPAPPRLSLQPHPRLLDHRRDSVEQVAVLASLGLVAGALALGL  
WLRRRGKDGSPKGFLASVVIPVDRRPGAPNL

\*\* Bolded amino acids:

Human Interleukin 11 Receptor protein sequence that interacts with Interleukin 11

Figure 4

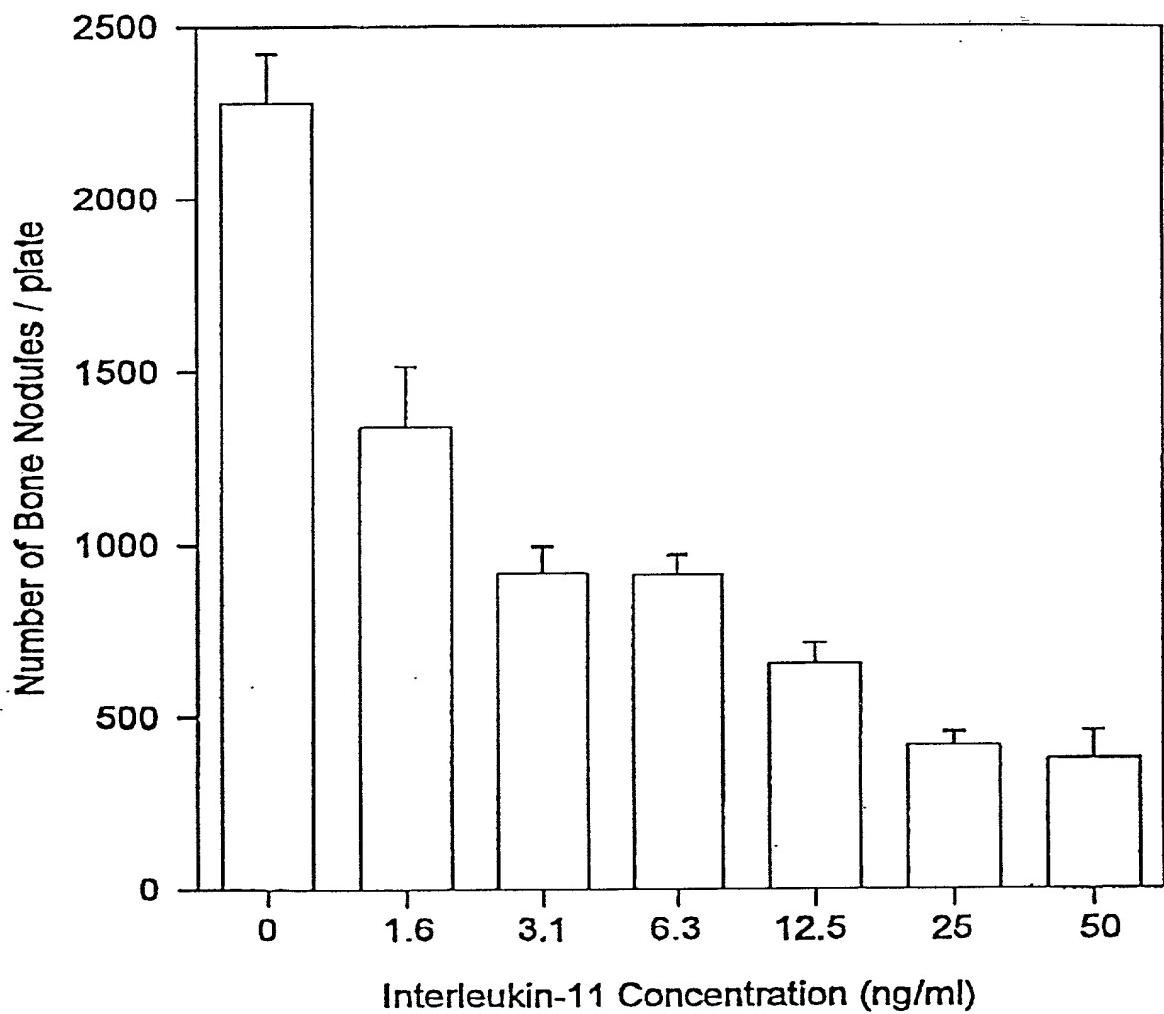
## Inhibitory Peptide

Peptide	Peptide Sequence	Antagonistic Activity
1	RRLRASWTVTYPASWTPCQPHFL	yes
2	TYPASWTPCQPHFLLKFRLLQY	no

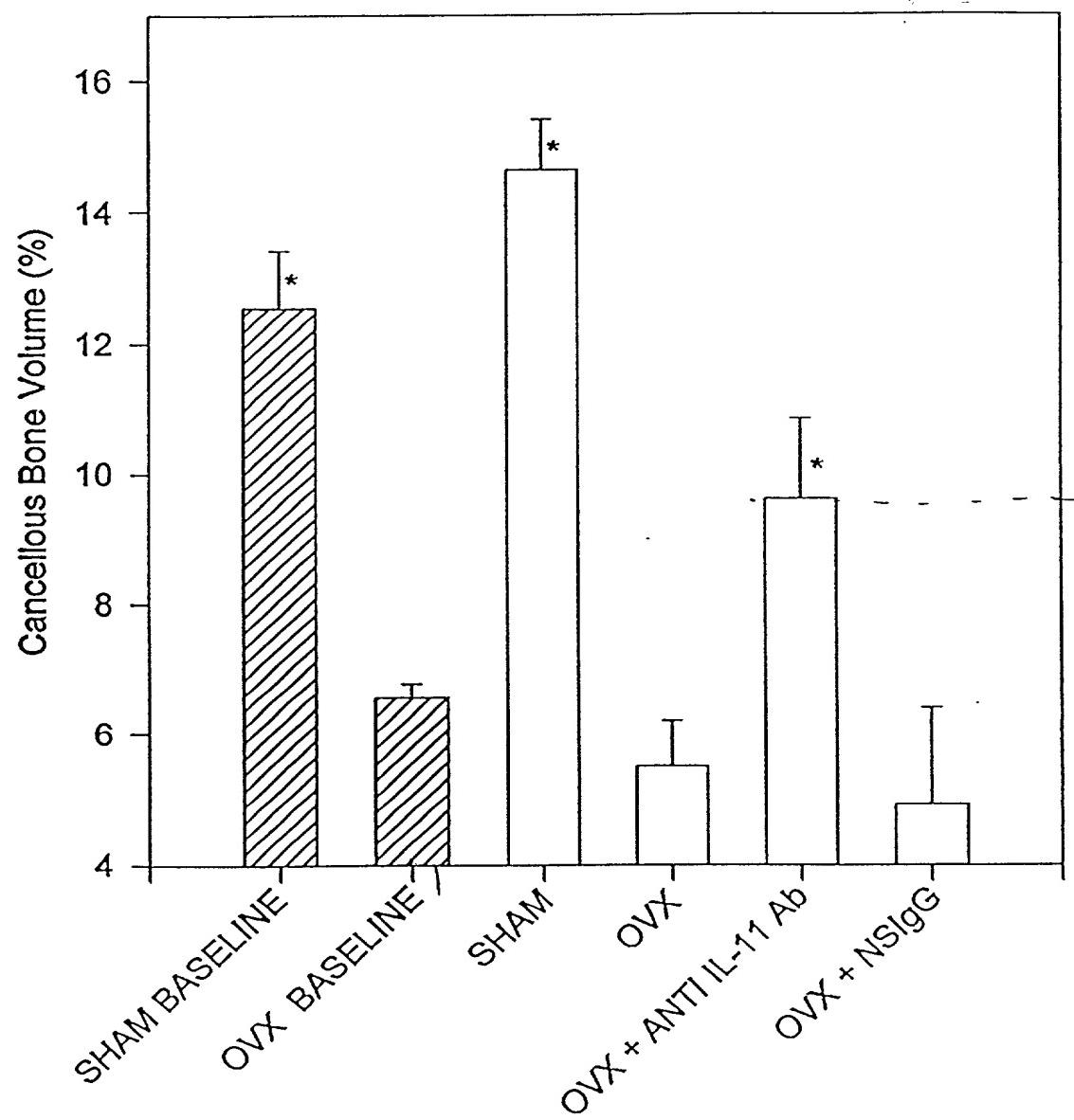
SEQ ID NO: 1  
SEQ ID NO: 2

**Figure 5**

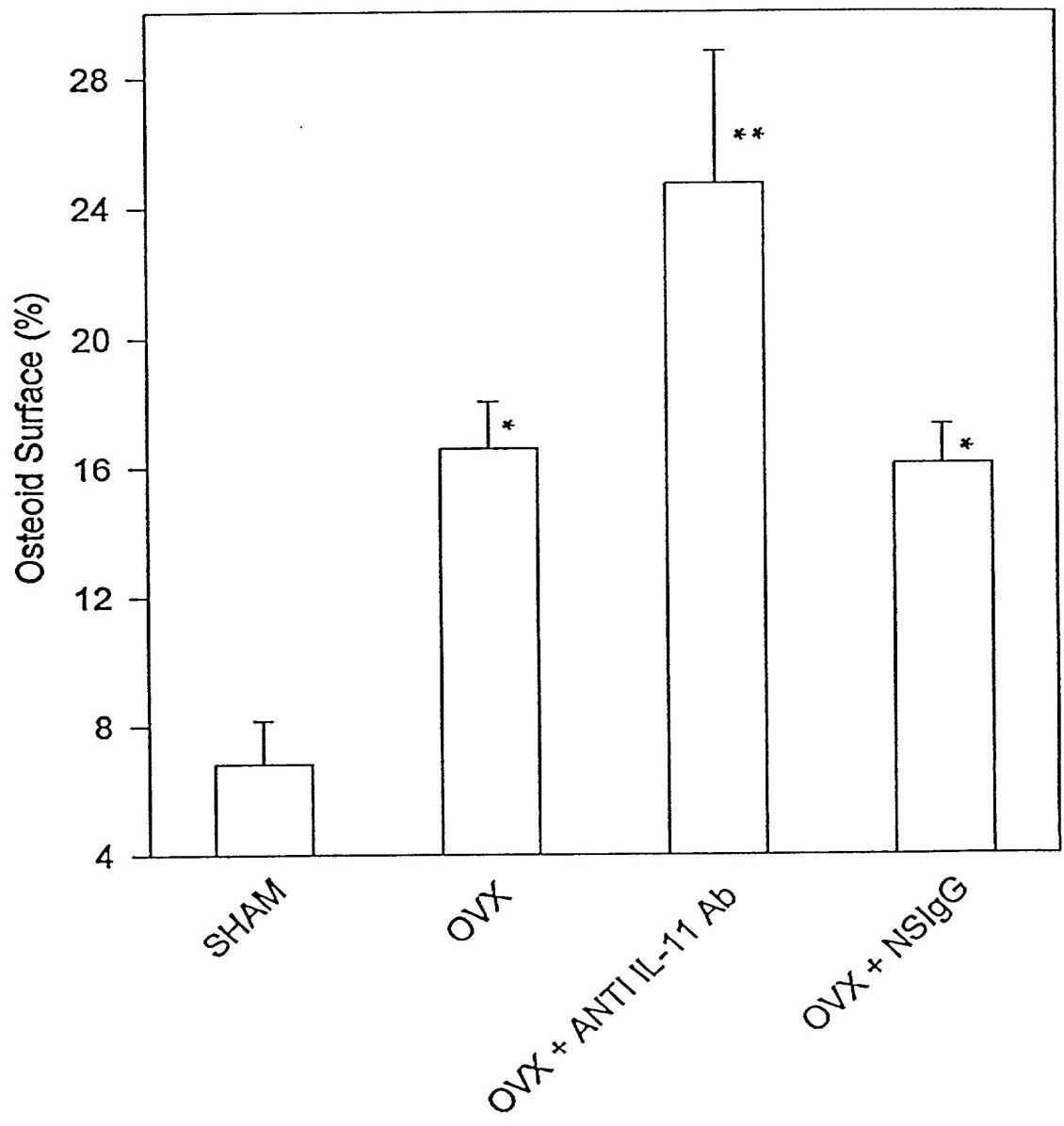
### The Effect of Murine Interleukin-11 on Bone Nodule Formation



**Figure 6 A**



**Figure 6 B**



**Figure 6 C**

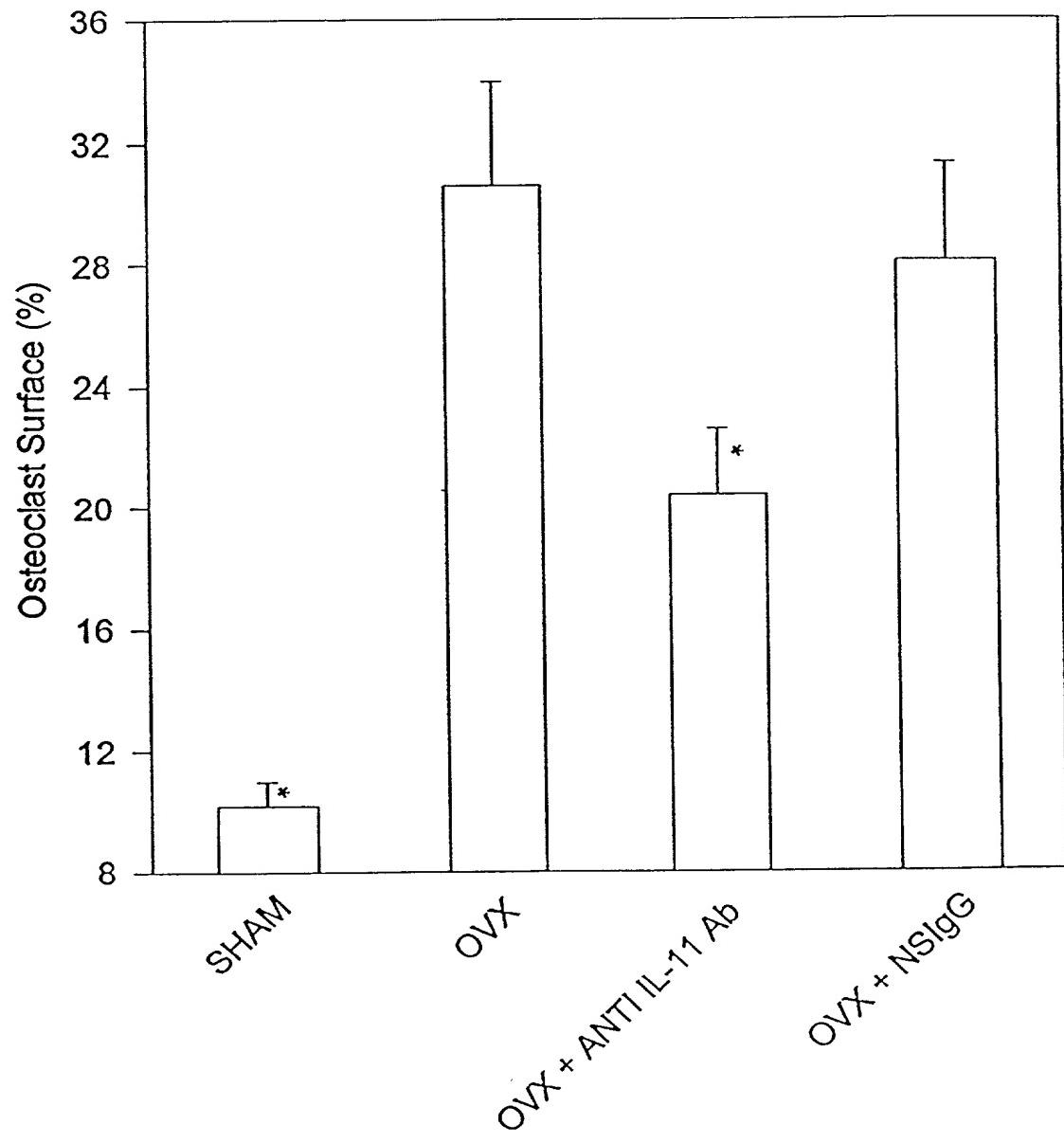


Figure 7 A

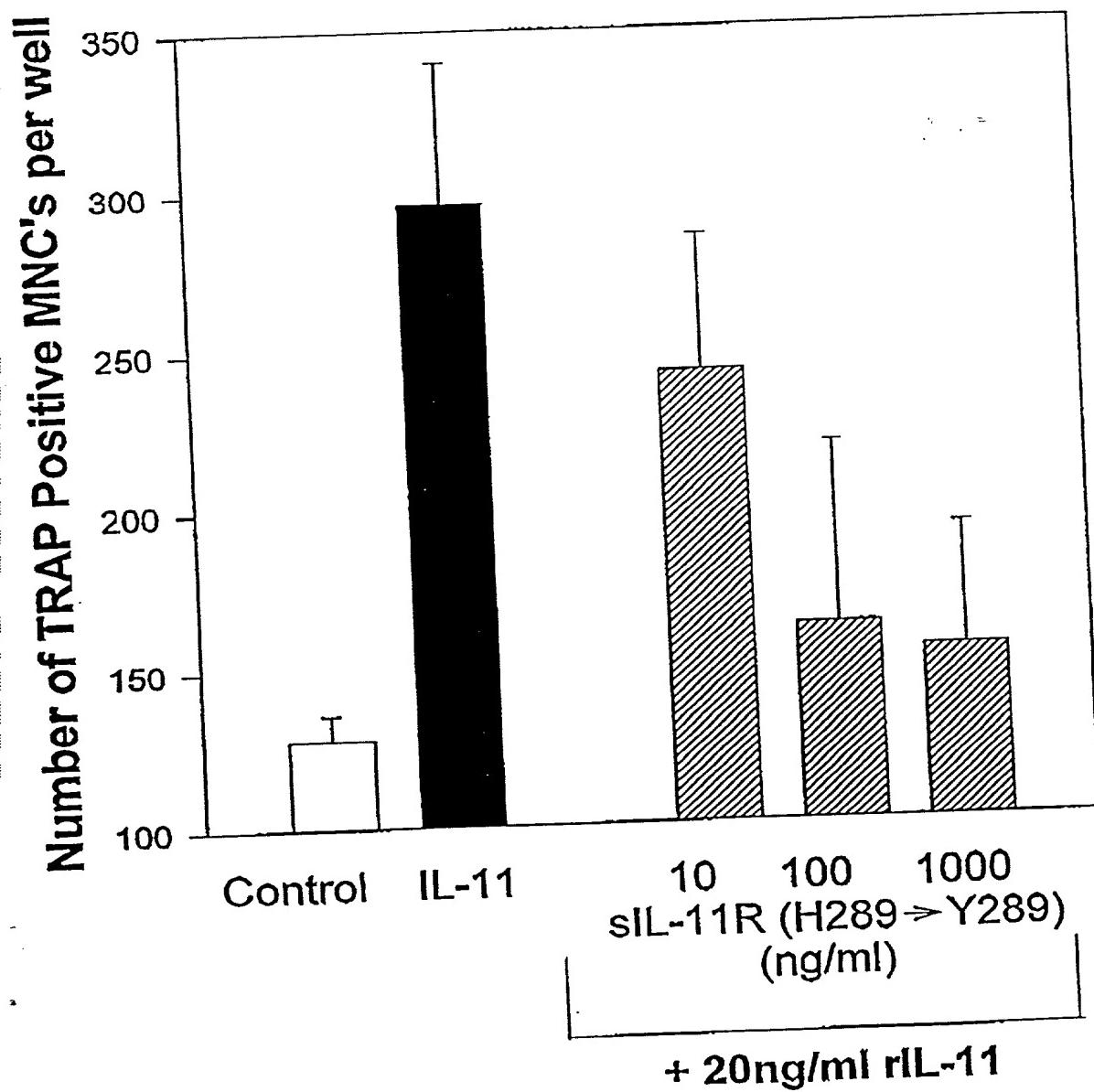
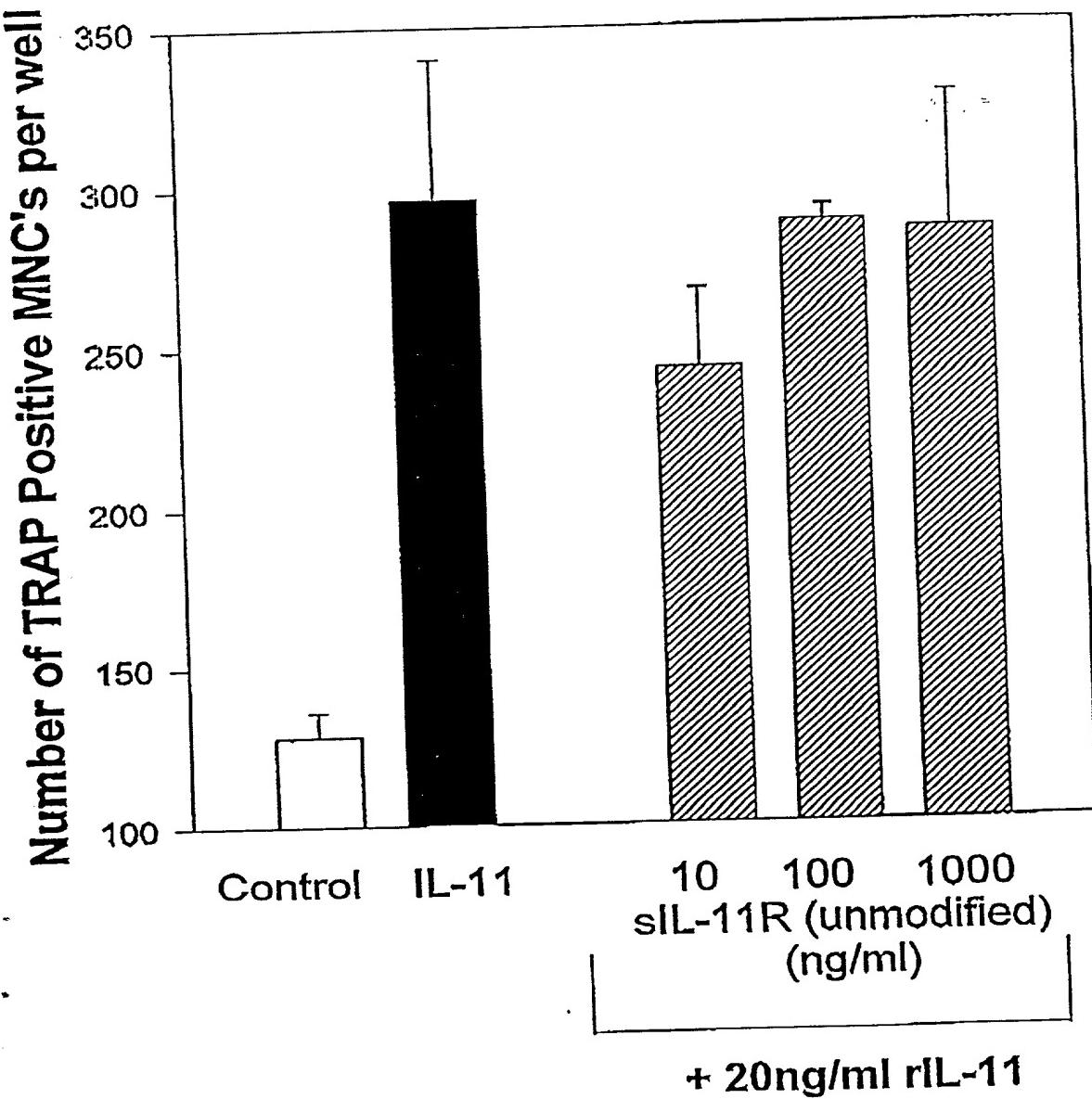
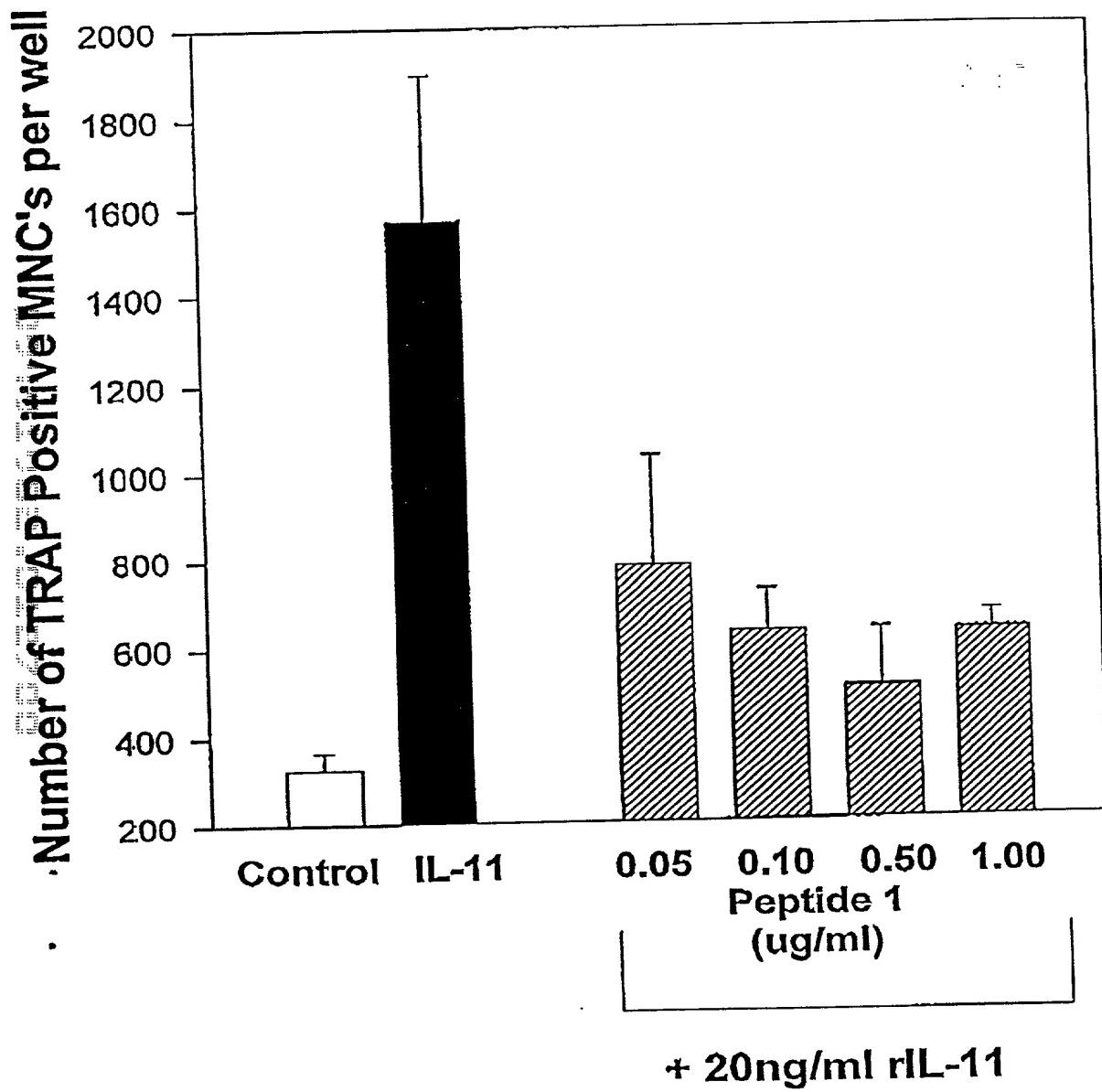


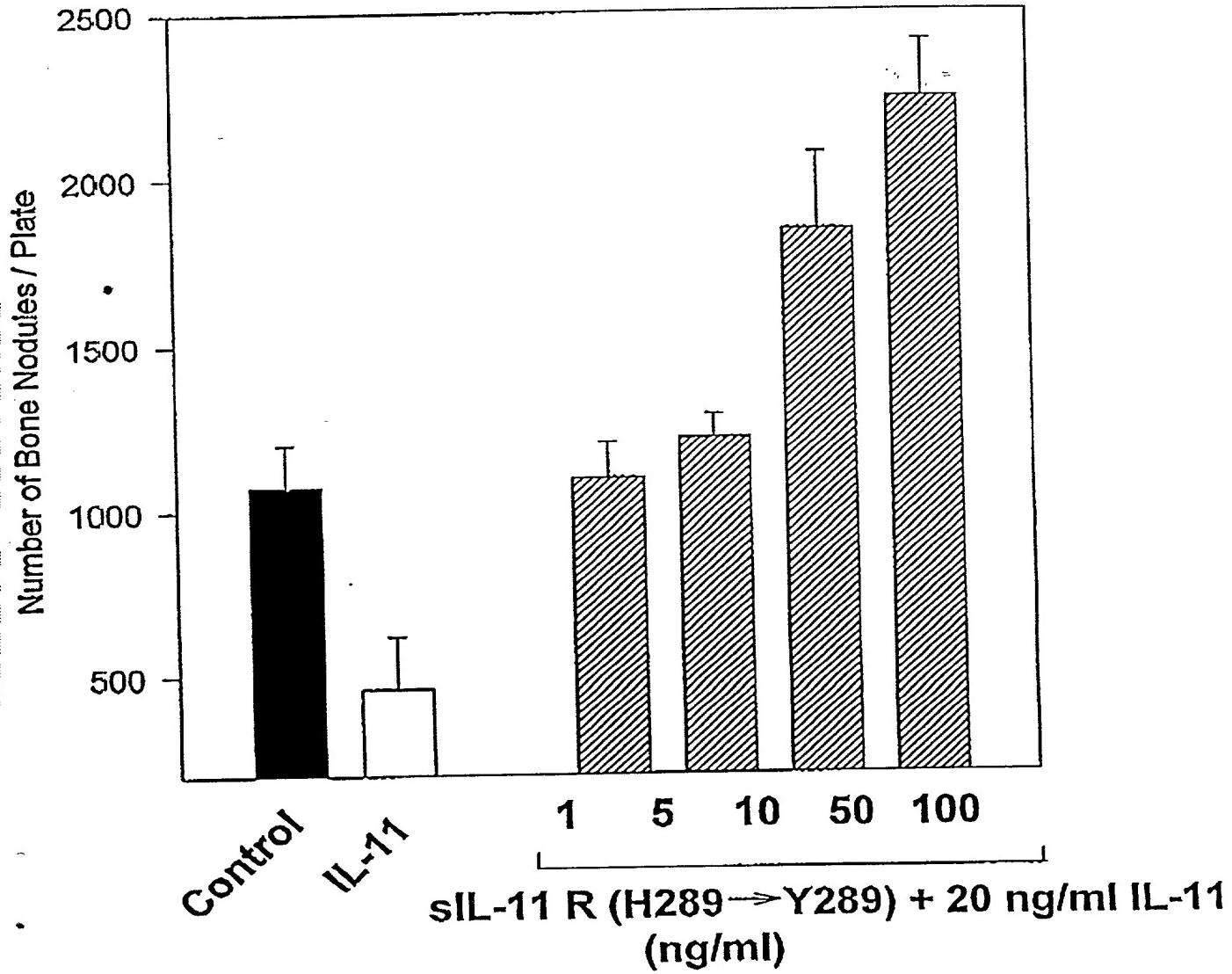
Figure 7 B



**Figure 8**



**Figure 9**



**Figure 10**

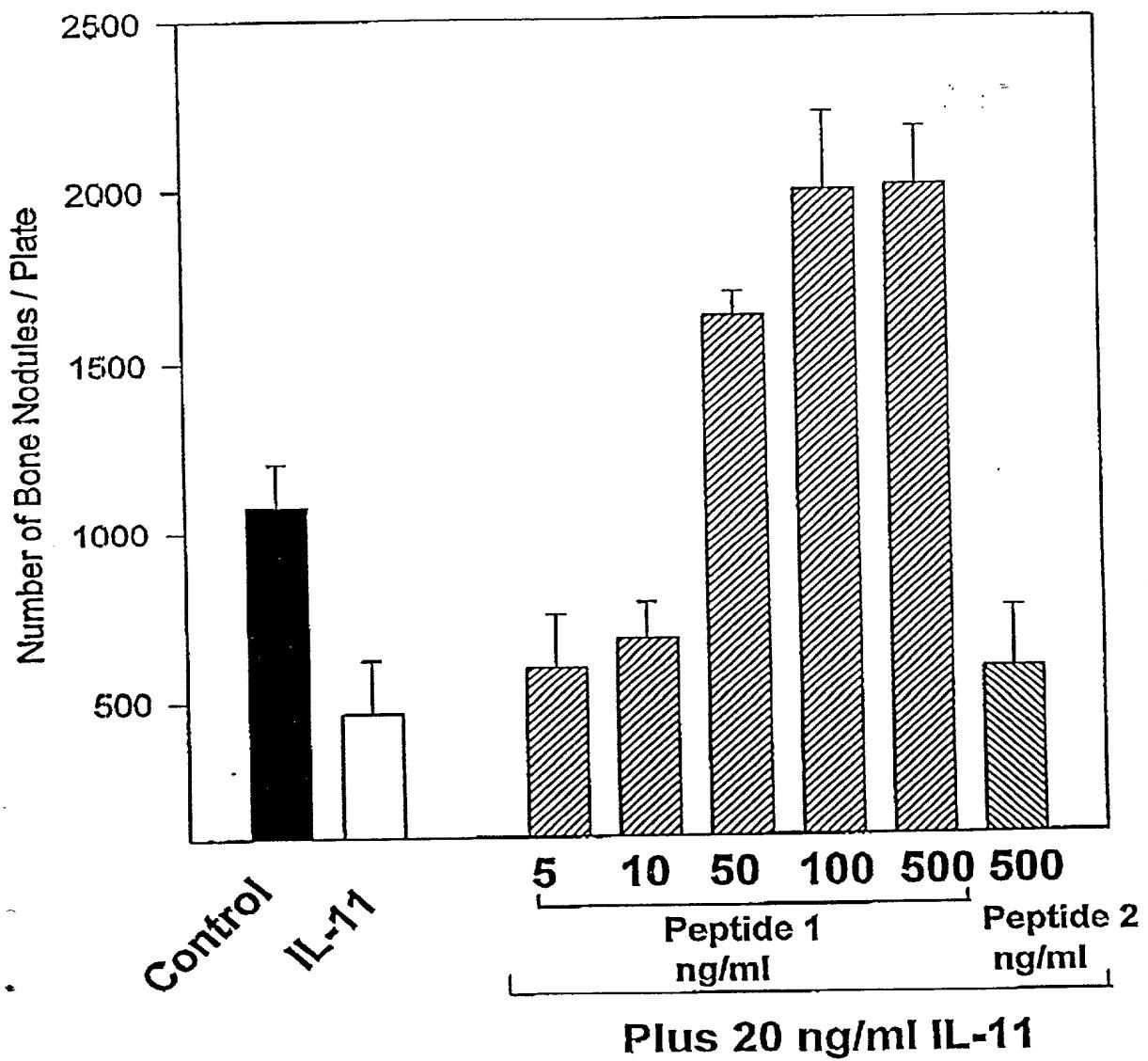


FIGURE 11

Table I

The effect of rIL-11 on the generation of TRAP+ cells in cocultures of murine calvaria and bone marrow cells.

rIL-11 Concentration ng/ml	Number of Trap+ Cells/Well
0.0	0.0 ± 0.0
3.1	1.0 ± 0.5
6.3	26.5 ± 15.8
12.5	140.8 ± 25.2
25.0	273.3 ± 24.0
50.0	395.5 ± 35.6

The effect of IL-11 on osteoclast development in cocultures of murine bone marrow and calvaria cells. Cocultures of marrow and calvaria cells were maintained in the absence or presence of increasing concentrations of IL-11. Nine days later the cells were stained for TRAPase activity and the number of multinucleated TRAP+ cells determined. Data are expressed as mean ± SEM. \* p < 0.05 when compared with the number of TRAP+ cells counted in the absence of rIL-11.

DECLARATION, POWER OF ATTORNEY

As a below named inventor, we hereby declare that:

Our residence, post office address and citizenship are as stated below next to our names,

We believe we are the original, first and sole inventors (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled OSTEOPOROSIS TREATMENT,  
the specification of which

(Check [X] is attached hereto.  
one)

[ ] was filed on \_\_\_\_\_ as  
Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, S.1.56.

We hereby claim foreign priority benefits under Title 35, United States Code, S.119(a)(b), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority  
Claimed

<u>2,237,915</u> (Number)	<u>CANADA</u> (Country)	<u>19/05/1998</u> (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes	[ ] No
------------------------------	----------------------------	---	---	--------

<u>                  </u> (Number)	<u>                  </u> (Country)	<u>                  </u> (Day/Month/Year Filed)	[ ] Yes	[ ] No
---------------------------------------	--	---	---------	--------

<u>                  </u> (Number)	<u>                  </u> (Country)	<u>                  </u> (Day/Month/Year Filed)	[ ] Yes	[ ] No
---------------------------------------	--	---	---------	--------

I hereby claim the benefit under Title 35, United States Code, S.120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, S.112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, S. 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

---

(Application Serial No.)

---

(Filing Date)

---

(Status)  
(Patented, pending,  
abandoned)

---

(Application Serial No.)

---

(Filing Date)

---

(Status)  
(Patented, pending,  
abandoned)

**(This application is a continuation-in-part of United States patent application 09/314,152 filed May 19, 1999).**

POWER OF ATTORNEY

We hereby appoint P. E. McArdle (Registration No. 26,138), R.A.R. Parsons (Registration No. 28,159), P. K. Holland (Registration No. 28,174), J. R. Lake (Registration No. 31,081), R. S. Mitchell (Registration No. 31,228), Robert G. Hirons (Registration No. 24,666) and William B. Vass (Registration No. 36,416), telephone no. (416) 868-1482, as my attorneys or agents to prosecute this application, to make alterations or amendments therein, to receive the patent and all correspondence relating to this application, and to transact all business in the U.S. Patent and Trademark Office connected therewith, and the said attorneys or agents are hereby given full power of substitution and revocation.

Address all correspondence and telephone calls to:

Robert G. Hiron  
c/o Ridout & Maybee  
150 Metcalfe Street  
18<sup>th</sup> Floor  
Ottawa, Ontario, Canada  
K2P 1P1

Telephone: (613) 566-2079

We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SHAUGHNESSY, Stephen  
Full name of sole or first  
inventor

Stephen Shaughnessy  
Inventor's Signature

AUSTIN, Richard Carl  
Full name of second joint  
inventor

Richard C. Austin  
Inventor's Signature

January 20/2000 Canadian  
Date Citizenship

January 20/00 Canadian  
Date Citizenship

72 Leaside Drive  
St. Catharines, Ontario  
Canada, L8V 1C3  
Residence Address

68 Rosemary Lane  
Ancaster, Ontario, Canada  
L9G 2K5  
Residence Address

## SEQUENCE LISTINGS

### (1) GENERAL INFORMATION

#### (i) APPLICANT:

SHAUGNESSEY, Stephen  
72 Leaside Drive  
St. Catharines, Ontario  
CANADA

AUSTIN, Richard Carl  
68 Rosemary Lane  
Ancaster, Ontario  
CANADA

#### (ii) TITLE OF INVENTION: OSTEOPOROSIS TREATMENT

#### (iii) NUMBER OF SEQUENCES: 10

#### (iv) CORRESPONDENCE ADDRESS:

RIDOUT & MAYBEE  
150 Metcalfe St.  
Ottawa, Ontario K2P 0J7  
CANADA

#### (v) COMPUTER READABLE FORM

- (a) COMPUTER: IBM Compatible
- (b) OPERATING SYSTEM: WINDOWS 95
- (c) SOFTWARE: WORDPERFECT 8

#### (vi) CURRENT APPLICATION DATA

- (a) APPLICATION NUMBER: 2,237,915
- (b) FILING DATE: 1998/05/19

(c) CLASSIFICATION:

(vii) PRIOR APPLICATION DATA

(a) APPLICATION NUMBER: NONE

(b) FILING DATE:

(c) CLASSIFICATION:

(viii) PATENT AGENT INFORMATION

(a) NAME: Robert G. Hirons, RIDOUT & MAYBEE

(b) REFERENCE NUMBER: 29210-0011

(2) INFORMATION FOR SEQ ID NO: 1

(i) SEQUENCE CHARACTERISTICS

(a) LENGTH: 20

(b) TYPE: amino acid

(c) STRANDEDNESS:

(d) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(iii) HYPOTHETICAL: no

(iv) ANTISENSE: no

(v) FRAGMENT TYPE: internal

(vi) ORIGINAL SOURCE: human interleukin-11 receptor protein sequence

(vii) IMMEDIATE SOURCE: synthetic

(viii) POSITION IN GENOME:

(a) CHROMOSOME SEGMENT: 9p13

(b) MAP POSITION:

(c) UNITS:

(ix) FEATURE

(a) NAME/KEY: IL-11 binding region

(b) LOCATION: amino acids 1-8

(c) IDENTIFICATION METHOD: experiment

(d) OTHER INFORMATION: IL-11 receptor antagonist

(x) PUBLICATION INFORMATION

(a) AUTHOR(S):

(b) TITLE:

(c) JOURNAL:

(d) VOLUME:

(e) ISSUE:

(f) PAGES:

(g) DATE:

(h) DOCUMENT NUMBER:

(i) FILING DATE:

(j) PUBLICATION DATE:

(k) RELEVANT RESIDUES IN SEQUENCE ID NO:

(xi) SEQUENCE DESCRIPTION: SEQUENCE ID NO:1

Arg Arg Leu Arg Ala Ser Trp Thr Tyr Pro Ala Ser Trp Pro Cys Gln Pro His Phe Leu

(3) INFORMATION FOR SEQ ID NO:2

(i) SEQUENCE CHARACTERISTICS

(a) LENGTH: 20

(b) TYPE: amino acid

(c) STRANDEDNESS:

(d) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(iii) HYPOTHETICAL: no

(iv) ANTISENSE: no

(v) FRAGMENT TYPE: internal

(vi) ORIGINAL SOURCE: human interleukin-11 receptor protein sequence

(vii) IMMEDIATE SOURCE: synthetic

(viii) POSITION IN GENOME:

(a) CHROMOSOME SEGMENT: 9p13

(b) MAP POSITION:

(c) UNITS:

(ix) FEATURE

(a) NAME/KEY:

(b) LOCATION:

(c) IDENTIFICATION METHOD:

(d) OTHER INFORMATION:

(x) PUBLICATION INFORMATION

(a) AUTHOR(S):

(b) TITLE:

(c) JOURNAL:

(d) VOLUME:

(e) ISSUE:

(f) PAGES:

(g) DATE:

(h) DOCUMENT NUMBER:

(i) FILING DATE:

(j) PUBLICATION DATE:

(k) RELEVANT RESIDUES IN SEQUENCE ID NO:

(xi) SEQUENCE DESCRIPTION: SEQUENCE ID NO:2

Thr Tyr Pro Ala Ser Trp Pro Cys Gln Pro His Phe Leu Leu Lys Phe Arg Leu Gln Tyr

(4) INFORMATION FOR SEQ ID NO: 3

(i) SEQUENCE CHARACTERISTICS

(a) LENGTH: 1140 bases

(b) TYPE: nucleotide and amino acid

(c) STRANDEDNESS: double stranded

(d) TOPOLOGY: linear

(ii) MOLECULE TYPE: cDNA, protein

(iii) HYPOTHETICAL: no

(iv) ANTISENSE: no

(v) FRAGMENT TYPE:

(vi) ORIGINAL SOURCE: murine interleukin-11 receptor sequence

(vii) IMMEDIATE SOURCE: mouse bone marrow stromal cells

(viii) POSITION IN GENOME:

(a) CHROMOSOME SEGMENT:

(b) MAP POSITION:

(c) UNITS:

(ix) FEATURE

(a) NAME/KEY:

(b) LOCATION:

(c) IDENTIFICATION METHOD: experiment

(d) OTHER INFORMATION: soluble form of IL-11 receptor, sequence additionally modified from wild type for cloning and histidine purification purposes

(x) PUBLICATION INFORMATION

(a) AUTHOR(S):

(b) TITLE:

(c) JOURNAL:

(d) VOLUME:

(e) ISSUE:

(f) PAGES:

(g) DATE:

(h) DOCUMENT NUMBER:

(i) FILING DATE:

(j) PUBLICATION DATE:

(k) RELEVANT RESIDUES IN SEQUENCE ID NO: 3

(xi) SEQUENCE DESCRIPTION: SEQUENCE ID NO:3

9	18	27	36	45
54				
5' ATG AGC AGC AGC TGC TCA GGG CTG ACC AGG GTC CTG GTG GCC GTG GCT ACG GCC				
Met Ser Ser Ser Cys Ser Gly Leu Thr Arg Val Leu Val Ala Val Ala Thr Ala				
63	72	81	90	99
108				
CTG GTG TCT TCC TCC CCC TGC CCC CAA GCT TGG GGT CCT CCA GGG GTC CAG				
Leu Val Ser Ser Ser Pro Cys Pro Gln Ala Trp Gly Pro Pro Gly Val Gln				
117	126	135	144	153
162				
TAT GGA CAA CCT GGC AGG CCC GTG ATG CTG TGC TGC CCC GGA GTG AGT GCT GGG				
Tyr Gly Gln Pro Gly Arg Pro Val Met Leu Cys Cys Pro Gly Val Ser Ala Gly				
171	180	189	198	207
216				
ACT CCA GTG TCC TGG TTT CGG GAT GGA GAT TCA AGG CTG CTC CAG GGA				

CCT GAC

Thr Pro Val Ser Trp Phe Arg Asp Gly Asp Ser Arg Leu Leu Gln Gly Pro  
Asp

225 234 243 252 261

270

TCT GGG TTA GGA CAC AAA CTG GTC TTG GCC CAG GTG GAC AGC CCT GAT  
GAA GGC

Ser Gly Leu Gly His Lys Leu Val Leu Ala Gln Val Asp Ser Pro Asp  
Glu Gly

279 288 297 306 315

324

ACT TAT GTC TGC CAG ACC CTG GAT GGT GTA TCA GGG GGC ATG GTG ACC  
CTG AAG

Thr Tyr Val Cys Gln Thr Leu Asp Gly Val Ser Gly Gly Met Val Thr Leu Lys  
333 342 351 360 369

378

CTG GGC TTT CCC CCA GCA CGT CCT GAA GTC TCC TGC CAA GCG GTA GAC  
TAT GAA

Leu Gly Phe Pro Pro Ala Arg Pro Glu Val Ser Cys Gln Ala Val Asp  
Tyr Glu

387 396 405 414 423

432

AAC TTC TCC TGT ACT TGG AGT CCA GGC CAG GTC AGC GGT TTG CCC ACC  
CGC TAC

Asn Phe Ser Cys Thr Trp Ser Pro Gly Gln Val Ser Gly Leu Pro Thr Arg  
Tyr

441 450 459 468 477

486

CTT ACT TCC TAC AGG AAG AAG ACG CTG CCA GGA GCT GAG AGT CAG AGG  
GAA AGT

Leu Thr Ser Tyr Arg Lys Lys Thr Leu Pro Gly Ala Glu Ser Gln Arg Glu Ser

495 504 513 522 531

540

CCA TCC ACC GGG CCT TGG CCG TGT CCA CAG GAC CCT CTG GAG GCC TCC  
CGA TGT

Pro Ser Thr Gly Pro Trp Pro Cys Pro Gln Asp Pro Leu Glu Ala Ser Arg Cys

549

558

567

576

585

594

GTG GTC CAT GGG GCA GAG TTC TGG AGT GAG TAC CGG ATC AAT GTG ACC  
GAG GTG

Val Val His Gly Ala Glu Phe Trp Ser Glu Tyr Arg Ile Asn Val Thr  
Glu Val

603

612

621

630

639

648

AAC CCA CTG GGT GCC AGC ACG TGC CTA CTG GAT GTG AGA TTA CAG AGC  
ATC TTG

Asn Pro Leu Gly Ala Ser Thr Cys Leu Leu Asp Val Arg Leu Gln Ser  
Ile Leu

657

666

675

684

693

702

CGT CCT GAT CCA CCC CAA GGA CTG CGG GTG GAA TCC GTA CCT AGT TAC  
CCG AGA

Arg Pro Asp Pro Pro Gln Gly Leu Arg Val Glu Ser Val Pro Ser Tyr  
Pro Arg

711

720

729

738

747

756

CGC CTG CAT GCC AGC TGG ACA TAC CCT GCC TCC TGG CGT CGC CAA CCC  
CAC TTT

Arg Leu His Ala Ser Trp Thr Tyr Pro Ala Ser Trp Arg Arg Gln Pro  
His Phe

765

774

783

792

801

810

CTG CTC AAG TTC CGG TTG CAA TAC CGA CCA GCA CAG CAT CCA GCG TGG  
TCC ACG

Leu Leu Lys Phe Arg Leu Gln Tyr Arg Pro Ala Gln His Pro Ala Trp Ser Thr  
 819 828 837 846 855  
 864  
 GTG GAG CCC ATT GGC TTG GAG GAA GTG ATA ACA GAT GCT GTG GCT GGG  
 CTG CCA

Val Glu Pro Ile Gly Leu Glu Glu Val Ile Thr Asp Ala Val Ala Gly Leu Pro  
 873 882 891 900 909  
 918  
 CAC GCG GTA CGA GTC AGT GCC AGG GAC TTT CTG GAT GCT GGC ACC TGG  
 AGC GCC

His Ala Val Arg Val Ser Ala Arg Asp Phe Leu Asp Ala Gly Thr Trp  
 Ser Ala

927 936 945 954 963  
 972  
 TGG AGC CCA GAG GCC TGG GGT ACT CCT AGC ACT GGT CCC CTG CAG GAT  
 GAG ATA

Trp Ser Pro Glu Ala Trp Gly Thr Pro Ser Thr Gly Pro Leu Gln Asp  
 Glu Ile

981 990 999 1008 1017  
 1026  
 CCT GAT TGG AGC CAG GGA CAC GGA CAG CAG CTA GAG GCA GTA GTA GCT  
 CAG GAG

Pro Asp Trp Ser Gln Gly His Gly Gln Gln Leu Glu Ala Val Val Ala  
 Gln Glu

1035 1044 1053 1062 1071  
 1080  
 GAC AGC CCG GCT CCT GCA AGG CCT TCC TTG CAG CCG GAC CCA AGG CCA  
 CTT GAT

Asp Ser Pro Ala Pro Ala Arg Pro Ser Leu Gln Pro Asp Pro Arg Pro Leu  
 Asp

1089 1098 1107 1116 1125  
 1134

CAC AGG GAT CCC TTG GAG CAA CTG GTG CCA CGC GGT TCT CAC CAC  
CAC CAC

His Arg Asp Pro Leu Glu Gln Leu Val Pro Arg Gly Ser His His His His  
His

1140  
CAC TGA 3'

His \*\*\*

(5) INFORMATION FOR SEQ ID NO:4

(1) SEQUENCE CHARACTERISTICS

(1) LENGTH: 10

(2) TYPE: amino acid

(3) STRANDEDNESS:

(4) TOPOLOGY: linear

(2) MOLECULE TYPE: peptide

(3) HYPOTHETICAL: yes

(4) ANTISENSE: no

(5) FRAGMENT TYPE: internal

(6) ORIGINAL SOURCE: human interleukin-11 receptor protein sequence

(7) IMMEDIATE SOURCE: synthetic

(8) POSITION IN GENOME:

(a) CHROMOSOME SEGMENT: 9p13

(b) MAP POSITION:

(c) UNITS:

(ix) FEATURE

- (a) NAME/KEY: ineffectual gp130 binding region
- (b) LOCATION: entire sequence
- (c) IDENTIFICATION METHOD: experiment
- (d) OTHER INFORMATION:

(x) PUBLICATION INFORMATION

- (a) AUTHOR(S):
- (b) TITLE:
- (c) JOURNAL:
- (d) VOLUME:
- (e) ISSUE:
- (f) PAGES:
- (g) DATE:
- (h) DOCUMENT NUMBER:
- (i) FILING DATE:
- (j) PUBLICATION DATE:
- (k) RELEVANT RESIDUES IN SEQUENCE ID NO:

(xi) SEQUENCE DESCRIPTION: SEQUENCE ID NO: 4

Gly Asp Val Ala Asp Leu Pro Tyr Ala Leu

(6) INFORMATION FOR SEQ ID NO: 5

(i) SEQUENCE CHARACTERISTICS

- (a) LENGTH: 7
- (b) TYPE: amino acid
- (c) STRANDEDNESS:
- (d) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide
- (iii) HYPOTHETICAL: no
- (iv) ANTISENSE: no
- (v) FRAGMENT TYPE: internal
- (vi) ORIGINAL SOURCE: human interleukin-11 receptor protein sequence
  - (vii) IMMEDIATE SOURCE: synthetic
  - (viii) POSITION IN GENOME:
    - (a) CHROMOSOME SEGMENT: 9p13
    - (b) MAP POSITION:
    - (c) UNITS:
- (ix) FEATURE
  - (a) NAME/KEY: IL-11 binding region
  - (b) LOCATION: entire sequence
  - (c) IDENTIFICATION METHOD: experiment
  - (d) OTHER INFORMATION: IL-11 receptor antagonist
- (x) PUBLICATION INFORMATION
  - (a) AUTHOR(S):

- (b) TITLE:
  - (c) JOURNAL:
  - (d) VOLUME:
  - (e) ISSUE:
  - (f) PAGES:
  - (g) DATE:
  - (h) DOCUMENT NUMBER:
  - (i) FILING DATE:
  - (j) PUBLICATION DATE:
  - (k) RELEVANT RESIDUES IN SEQUENCE ID NO:
- (xi) SEQUENCE DESCRIPTION: SEQUENCE ID NO:5

Arg Arg Leu Arg Ala Ser Trp

(7) INFORMATION FOR SEQ ID NO: 6

(i) SEQUENCE CHARACTERISTICS

- (a) LENGTH: 20
- (b) TYPE: amino acid
- (c) STRANDEDNESS:
- (d) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(iii) HYPOTHETICAL: no

(iv) ANTISENSE: no

(v) FRAGMENT TYPE: internal

(vi) ORIGINAL SOURCE: human interleukin-11 receptor protein sequence

(vii) IMMEDIATE SOURCE: synthetic

(viii) POSITION IN GENOME:

(a) CHROMOSOME SEGMENT: 9p13

(b) MAP POSITION:

(c) UNITS:

(ix) FEATURE

(a) NAME/KEY: IL-11 binding region

(b) LOCATION:

(c) IDENTIFICATION METHOD: experiment

(d) OTHER INFORMATION: IL-11 receptor antagonist

(x) PUBLICATION INFORMATION

(a) AUTHOR(S):

(b) TITLE:

(c) JOURNAL:

(d) VOLUME:

(e) ISSUE:

(f) PAGES:

(g) DATE:

(h) DOCUMENT NUMBER:

(i) FILING DATE:

(j) PUBLICATION DATE:

(k) RELEVANT RESIDUES IN SEQUENCE ID NO:

(xi) SEQUENCE DESCRIPTION: SEQUENCE ID NO: 6

Ser Ile Leu Arg Pro Asp Pro Pro Gln Gly Leu Arg Val Glu Ser Val Pro Gly Tyr Pro

(8) INFORMATION FOR SEQ ID NO: 7

(i) SEQUENCE CHARACTERISTICS

(a) LENGTH: 7

(b) TYPE: amino acid

(c) STRANDEDNESS:

(d) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(iii) HYPOTHETICAL: yes

(iv) ANTISENSE: no

(v) FRAGMENT TYPE: internal

(vi) ORIGINAL SOURCE:

(vii) IMMEDIATE SOURCE:

(viii) POSITION IN GENOME:

(a) CHROMOSOME SEGMENT:

(b) MAP POSITION:

(c) UNITS:

(ix) FEATURE

- (a) NAME/KEY: IL-11 binding region
- (b) LOCATION:
- (c) IDENTIFICATION METHOD: experiment, homology
- (d) OTHER INFORMATION: IL-11 receptor antagonist
- (x) PUBLICATION INFORMATION
  - (a) AUTHOR(S):
  - (b) TITLE:
  - (c) JOURNAL:
  - (d) VOLUME:
  - (e) ISSUE:
  - (f) PAGES:
  - (g) DATE:
  - (h) DOCUMENT NUMBER:
  - (i) FILING DATE:
  - (j) PUBLICATION DATE:
  - (k) RELEVANT RESIDUES IN SEQUENCE ID NO: 7
- (xi) SEQUENCE DESCRIPTION: SEQUENCE ID NO: 7

Arg Arg Leu X Ala Ser Trp where X is a basic amino acid

- (9) INFORMATION FOR SEQ ID NO: 8
  - (i) SEQUENCE CHARACTERISTICS
    - (a) LENGTH: 20

- (b) TYPE: amino acid
  - (c) STRANDEDNESS:
  - (d) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide
- (iii) HYPOTHETICAL: no
  - (iv) ANTISENSE: no
  - (v) FRAGMENT TYPE: internal
  - (vi) ORIGINAL SOURCE: murine interleukin-11 receptor
- (vii) IMMEDIATE SOURCE:
  - (viii) POSITION IN GENOME:
- (a) CHROMOSOME SEGMENT:
  - (b) MAP POSITION:
  - (c) UNITS:
- (ix) FEATURE
- (a) NAME/KEY: IL-11 binding region
  - (b) LOCATION:
  - (c) IDENTIFICATION METHOD: experiment, homology
  - (d) OTHER INFORMATION: IL-11 receptor antagonist
- (x) PUBLICATION INFORMATION
- (a) AUTHOR(S):
  - (b) TITLE:

- (c) JOURNAL:
  - (d) VOLUME:
  - (e) ISSUE:
  - (f) PAGES:
  - (g) DATE:
  - (h) DOCUMENT NUMBER:
  - (i) FILING DATE:
  - (j) PUBLICATION DATE:
  - (k) RELEVANT RESIDUES IN SEQUENCE ID NO: 8
- (xi) SEQUENCE DESCRIPTION: SEQUENCE ID NO: 8

Ser Ile Leu Arg Pro Asp Pro Pro Gln Gly Leu Arg Val Glu Ser Val Pro Ser Tyr Pro

(10) INFORMATION FOR SEQ ID NO: 9

(i) SEQUENCE CHARACTERISTICS

- (a) LENGTH: 20
- (b) TYPE: amino acid
- (c) STRANDEDNESS:
- (d) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(iii) HYPOTHETICAL: yes

(iv) ANTISENSE: no

(v) FRAGMENT TYPE: internal

(vi) ORIGINAL SOURCE:

(vii) IMMEDIATE SOURCE:

(viii) POSITION IN GENOME:

(a) CHROMOSOME SEGMENT:

(b) MAP POSITION:

(c) UNITS:

(ix) FEATURE

(a) NAME/KEY:

(b) LOCATION:

(c) IDENTIFICATION METHOD:

(d) OTHER INFORMATION:

(x) PUBLICATION INFORMATION

(a) AUTHOR(S):

(b) TITLE:

(c) JOURNAL:

(d) VOLUME:

(e) ISSUE:

(f) PAGES:

(g) DATE:

(h) DOCUMENT NUMBER:

(i) FILING DATE:

(j) PUBLICATION DATE:

(k) RELEVANT RESIDUES IN SEQUENCE ID NO: 9

(xi) SEQUENCE DESCRIPTION: SEQUENCE ID NO: 9

Ser Ile Leu Arg Pro Asp Pro Pro Gln Gly Leu Arg Val Glu Ser Val Pro xxx Tyr Pro  
where xxx is a suitable amino acid

(11) INFORMATION FOR SEQ ID NO: 10

(i) SEQUENCE CHARACTERISTICS

(a) LENGTH: 7

(b) TYPE: amino acid

(c) STRANDEDNESS:

(d) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(iii) HYPOTHETICAL: no

(iv) ANTISENSE: no

(v) FRAGMENT TYPE: internal

(vi) ORIGINAL SOURCE: murine IL-11 receptor

(vii) IMMEDIATE SOURCE:

(viii) POSITION IN GENOME:

(a) CHROMOSOME SEGMENT:

(b) MAP POSITION:

(c) UNITS:

(ix) FEATURE

- (a) NAME/KEY: IL-11 binding region
  - (b) LOCATION: entire sequence
  - (c) IDENTIFICATION METHOD: experiment and homology
  - (d) OTHER INFORMATION: IL-11 receptor antagonist
- (x) PUBLICATION INFORMATION
- (a) AUTHOR(S):
  - (b) TITLE:
  - (c) JOURNAL:
  - (d) VOLUME:
  - (e) ISSUE:
  - (f) PAGES:
  - (g) DATE:
  - (h) DOCUMENT NUMBER:
  - (i) FILING DATE:
  - (j) PUBLICATION DATE:
  - (k) RELEVANT RESIDUES IN SEQUENCE ID NO: 10
- (xi) SEQUENCE DESCRIPTION: SEQUENCE ID NO: 10

Arg Arg Leu His Ala Ser Trp